

MINUTES
Oversight Committee Quarterly Meeting
Cancer Prevention and Research Institute of Texas

Thompson Auditorium, 1st Floor
Texas Medical Association
401 W 15th Street, Austin Texas

March 10, 2010
1:00 p.m.

James Mansour, Chairman, announced a quorum and called the Oversight Committee Quarterly Meeting of the Cancer Prevention and Research Institute of Texas (Institute) to order at 1:00 p.m.

Members Present

James Mansour, Chairman
The Honorable Faith Johnson
Cindy Brinker Simmons, Secretary
Dr. Joseph Bailes
Martin Hubert (Representing the Honorable Susan Combs)
Charles Tate
Jay Dyer (Designee for the Attorney General)

Members Absent

None

Legal Counsel

Kristen Doyle

Staff Present

William "Bill" Gimson, Executive Director
Heidi McConnell, Chief Operating Officer
Dr. Alfred Gilman, Chief Scientific Officer
Dr. Becky Garcia, Chief Prevention Officer
Jerry Cobbs, Chief Commercialization Officer
Therry Simien, Information Technology Officer
Sandra Balderrama, Senior Advisor to the Executive Director
Alfonso Royal, Finance Manager
Jo Ann Eckert, Director of Scientific Review
Lisa Nelson, Operations Manager
Michelle Frerich, Program Manager
Ramona Magid, Prevention Program Director
Yvette Jimenez, Administrative Assistant
Sandra Reyes, Executive Assistant

Minutes

Dr. Joseph Bailes moved to adopt the minutes of the January 20, 2010, CPRIT Oversight Committee Quarterly Meeting. Cindy Brinker Simmons seconded. None opposed. Motion carried.

Update on Oversight Committee Vacancies

Chairman James Mansour read resignation letters from Dr. Malcolm Gillis and Scott Sanders. Chairman Mansour thanked both members for their service especially during the start-up phase of CPRIT.

Chairman Mansour stated that appointments were out of the Governor's office and he expected replacement appointments soon.

Report from the Executive Director

William Gimson provided an update on CPRIT activities from January 20, 2010 to March 10, 2010:

• **CPRIT Update**

- Current Funding Opportunities
 - Individual Investigator Awards
 - High Impact/High Risk Awards
 - Recruitment Awards
- RFAs –in Process Grants
 - Multi-Investigator Awards
 - Company Investments Awards
 - Research Training Awards
 - Prevention Awards
 - New Prevention RFAs TBD
 - New Company Investments RFAs TBD
- Operations
 - TCR MOU
 - Contracts-Grants; Economic; IP
 - Meetings held:
 - Diversity Group
 - Scientific & Prevention Advisory Committee
 - Commercialization Review Council
 - Stakeholders Coalition
- Communications
 - Bond Buyers Conf.
 - University of Houston Researchers
 - Symposium on the Genetics & Biology of Childhood Cancer
 - Chairman of the House of Appropriations
 - British Embassy
 - TWU Videoconference
 - BIODFW Breakfast Series
 - Texas Economic Development Council – 2010 Winter Meeting
 - Houston Technology Center's – 2010 Life Science CEO Summit
- Upcoming Schedule
 - FY 2011 Funding Schedule
 - ✓ October 2010

- ✓ January 2011
- ✓ March 2011
- ✓ July 2011

- CPRIT in the News
 - Check Presentation: UT Austin, February 25, 2010
- CPRIT Around the State
 - Research Awards -
Houston; Dallas; College Station; Waco; Lubbock; San Antonio
 - Prevention Awards -
San Antonio; Laredo; Fort Worth; Dallas; Galveston
- CPRIT Save the Date
 - November 16
 - CPRIT Foundation Roundtable
 - First Annual "CPRIT Innovations in Cancer Prevention and Research conference"
- Research Report (Dr. Alfred Gilman)

Dr. Gilman reported on future Research slate for funding–

 - Request for Applications to be awarded in June 2010
 - Collaborative Programs – Priorities in RFA
- Prevention Report (Dr. Becky Garcia)

Dr. Garcia reported on the following

 - Review Process
 - Recommended awards
 - Stimulating collaboration through academic centers and non-profit organizations
 - Preview of 2nd application cycle
- Commercialization Report (Jerry Cobbs)

Jerry Cobbs reported on the RFA in progress -

 - Commercialization Review Council (CRC) preliminarily screened 89 applications.
 - 24 Companies submitted full applications
 - Requests represent approximately \$129 million in potential funding
 - The CRC and SRC will perform simultaneous review
 - Science and business companies recommended by both will undergo substantial due diligence
 - All are Texas domiciled companies
 - 3 key product development areas presented:
 - ✓ Cancer Treatment including Drug
 - ✓ Discovery/Development and clinical Trials –(20 companies)
 - ✓ Cancer Biology and Genetics, Including Oncogenesis,

- ✓ Genomics, and Proteomics –(1 company)
- ✓ Cancer Imaging and Diagnosis –(2 companies)

- Report from Diversity Work Group
Bill Gimson gave background information on the Diversity Workgroup which was formed at the January, 2010 Oversight Committee to ensure that CPRIT actively encourages highly-qualified, underrepresented, minority scientist. Chairman Mansour appointed Judge Faith Johnson, Cindy Brinker and Lionel Sosa to serve.

Mr. Gimson introduced Judge Johnson, chair of the workgroup and asked her to give a report on their activities.

Judge Johnson reported that the workgroup met twice, in February and in March. She announced that she had been nominated as Chair. She stated that they had brainstormed about how they can achieve more involvement from minority groups. A recommendation was made to the Oversight Committee that they consider creating a Diversity Coordinator.

Chairman James Mansour thanked the group. He commented that this will be a groundbreaking area within the Cancer Research & Prevention groups.

- Report from Nominations Committee
Executive Director Bill Gimson introduced Dr. Joseph Bailes, chair of the committee. Dr. Bailes presented Barbara Canales as a candidate for the Advisory Committee on Childhood Cancer (ACCC). He mentioned her active involvement in the community and her service on the Board of the Driscoll Children's Hospital Foundation. She is the also Founder of the Ready or Not Foundation.

Dr. Bailes turned the floor over to Cindy Brinker Simmons to present Annette Leslie, as a candidate for the ACCC. Annette is pediatric cancer activist after having lost her son Carson in January, 2010 to cancer. Before his death Carson wrote a book "Carry Me". Annette also serves on the board of the Carson Leslie Foundation which raises money for childhood cancer research.

Chairman James Mansour recognized the Nominations Committees recommendations of Barbara Canales and Annette Leslie to the ACCC. He then asked for a motion to approve the appointments.

Judge Faith Johnson moved to approve the Nominations Committees recommendations. Cindy Brinker Simmons seconded. None opposed. Motion Carried.

- Appointments for FY 2010 CPRIT Scientific Research and Prevention Program (SRPP) Committees
Executive Director Bill Gimson presented a list of new appointees to Scientific Research and Prevention Program Committee adding that these men and women are esteemed experts in their respective cancer fields.

(SRPP)Prevention Committee

Leslie Given, M.P.A.
Charles Baffi, Ph.D., M.P.H.
Karin Hohman, R.N., M.B.A.
Donald Morisky, Sc.D., M.S.P.H, Sc.M

Mr. Gimson added that consistent with the effort to maintain an impartial review and to reduce the potential conflict of interest all appointees to the committees reviewing prevention applications live and work outside of Texas. He congratulated Dr. Becky Garcia, Chief Prevention Officer on the new members.

(SRPP) Commercialization Committee:

Kapil Dhinga, M.D.
Todd M. Fruchteman, M.D., Ph.D.
Bruce D. Given, M.D.
Donald D. Hayden, Jr.
Helen Maslocka
Robert D. Ulrich, Ph.D. (non-voting member)
Robert C. Young, M.D.

Mr. Gimson added that consistent with the effort to maintain an impartial review and to reduce the potential conflict of interest half of the appointees to the committees reviewing commercialization applications live and work outside of Texas. He congratulated Jerry Cobbs, Chief Commercialization Officer on the new members. He also added that Dr. Robert Ulrich would serve as Chair of the Commercialization Review Council.

(SRPP) Research Committee.

Joseph V Simone, M.D.

Dr. Simone will assist in the research review for the first round Multi-Investigator Research Applications

Executive Director Bill Gimson requested that Chairman Mansour approve the his appointments to the Scientific Research and Prevention Program Committees.

Chairman James Mansour explained that Texas law requires the Oversight Committee to approve the Executive Director's appointments to the SRPP committees.

Dr. Joseph Bailes moved to approve the Executive Director's appointments to the Scientific Research and Prevention Programs (SRPP) committees. Judge Faith Johnson seconded. None opposed. Motion carried.

Submission of Slate(s) – Prevention Grant Applications Recommended for Funding

Executive Director Bill Gimson invited Dr. Becky Garcia, Prevention Officer to present to the Board CPRIT's first Prevention slate.

Dr. Garcia reported on the following:

- Peer Review Process
- The number of applications received, reviewed, discussed, and recommended
- Number of Institutions receiving support

The Comptroller of Public Accounts designee, Martin Hubert requested that at the June Meeting there be a report on monitoring grants. Chairman Mansour instructed staff to put this item on agenda for the June 18th meeting.

Chairman Mansour addressed the Committee to explain that Texas law (Health and Safety Code § 102.252) requires the Oversight Committee to follow the Executive Director's funding recommendation unless two-thirds of the Oversight Committee members vote to disregard the recommendation. And, because agency rules require each slate to be considered separately, Chairman Mansour explained that he will ask for motions for each of the three slates. If no motion to reject is heard, he will move to the next slate.

*Chairman Mansour requested a motion to disregard the Executive Director's funding recommendation for the **Health Promotion, Public Education and Outreach Programs** awards.*

No motion to disregard the recommendation.

*Chairman Mansour requested a motion to disregard the Executive Director's funding recommendation for the **Evidence-Based Prevention Programs and Services** awards.*

No motion to disregard the recommendation.

*Chairman Mansour requested a motion to disregard the Executive Director's funding recommendation for the **Planning Awards for Community Collaborative Prevention Programs and Services** grant awards.*

No motion to disregard the recommendation.

Hearing no motion to disregard any of the slates, the Executive Director's recommendations were pronounced final. Chairman Mansour requested a motion to delegate contract negotiation authority to the Executive Director and the General Counsel and to authorize the Executive Director to sign the contracts on behalf of the Institute.

Cindy Brinker Simmons moved to delegate contract negotiation authority to the Executive Director and the General Counsel and to authorize the Executive Director to sign the contracts on behalf of the Institute. Dr. Joseph Bailes seconded. None opposed. Motion carried.

Bill Gimson asked Dr. Becky Garcia to introduce one of the grant awardees, Dr. Samir Gupta, UT Southwestern Medical Center. Dr. Gupta presented to the Oversight Committee a report entitled: "*Increasing Colorectal Cancer Screening in a Safety-net Health System with a Focus on the Uninsured: Benefits and Costs*"

Texans Conquer Cancer License Plates Funding Recommendations

Executive Director Bill Gimson stated that the state of Texas has many specialty license plates that drivers can purchase one of which is the "Texans Conquer Cancer" plate. The proceeds from the sale of this license plate come to CPRIT to be used 100% for cancer patient services.

He then invited Dr. Becky Garcia, Prevention Officer to present the slate of organizations to be funded in 2010.

Dr. Garcia reported on the following:

- Peer Review Process
- The number of applications received, reviewed, discussed, and recommended
- Number of Institutions receiving support

Mr. Gimson presented the slate of Texas Conquers Cancer support services grants recommend for funding. All awards are consistent with the Health and Safety Code § 102.252.

Chairman Mansour recommended the slate of support services grant applications for funding. He then stated that these applications are funded solely by license plate sales not the sale of CPRIT bonds. He then added that the process for approval of grants is not through the peer review process, but rather is determined by the License Plate Steering Committee. Then he explained that the Oversight Committee must vote to approve funding for the support services grant applications.

Chairman Mansour requested a motion to approve the Executive Director's funding recommendations for the Texans Conquer Cancer Patient Support Services grant awards.

Cindy Brinker Simmons moved to approve the Executive Director's funding recommendations for the Texans Conquer Cancer Patient Support Services grant awards. Dr. Joseph Bailes seconded. None opposed. Motion carried.

Proposed Rules for Intellectual Property Agreement

CPRIT's General Counsel, Kristen Doyle, updated the board on the status of the Proposed Rules for Intellectual Agreement. She stated that at the January 20, 2010 Board meeting the Board voted to publish in the Texas Register the proposed rule amendments to Chapter 703. These were published and the 30 day period open for comments expired the first weekend in March. Given the amount of comments received, Ms. Doyle requested approval to hold a Public Hearing to respond to any disagreements. This Public Hearing shall be coordinated by the General Counsel, Kristen Doyle and the Chief Commercialization Officer, Jerry Cobbs to be held in April 2010.

The Comptroller of Public Accounts designee, Martin Hubert questioned the reason behind proposing to hold a Public Hearing. He asked that if the reasoning behind the hearing would be the amount of comments posted or the amount of disagreements.

CPRIT's General Counsel, Kristen Doyle responded by saying that the reason would be the amount of comments received. There were only 5 entities one being from the UT Systems. She also added that there were no companies within the entities that provided comments. Holding the Public Hearing would allow administrative record and also clear up any issues or concerns.

Chairman Mansour then requested a motion to set a Public Hearing to consider additional comments regarding the proposed rules regarding Intellectual Property agreements.

Dr. Joseph Bailes moved to approve a Public Hearing to consider additional comments regarding the proposed rules regarding Intellectual Property agreements. Cindy Brinker Simmons seconded. None opposed. Motion carried.

Agency Operation Report

Reports presented by Heidi McConnell, Chief Operating Officer:

- FY 2010 Expenditure Report
To request approval to advance money to unique grants like the CPRIT Recruitment Grants and Planning grants (for future multi-investigator grant applicants. The money advanced would cover start-up costs of the labs for recruitment award recipients. Ms. McConnell requested approval to permit payment in advance, stating that the General Appropriations Act requires that the agency determine it is "necessary for the purposes of the grant."

Ms. McConnell also addressed the concerns that the Comptroller of Public Accounts designee, Martin Hubert mentioned prior about the financial statements. She stated that these awardees will still be required to provide financial statements as required in their contracts.

Chairman Mansour then requested a motion to authorize CPRIT to make advance payments to Recruitment Grant and Planning Grant award recipients, consistent with the General Appropriations Act, Article IX, Section 4.03(a).

Judge Faith Johnson moved to authorize CPRIT to make advance payments to Recruitment Grant and Planning Grant award recipients, consistent with the General Appropriations Act, Article IX, Section 4.03(a). Cindy Brinker Simmons seconded. None opposed. Motion carried.

- Plan for 5% Budget Reduction
Ms. McConnell addressed the Governor's request for all state agencies to submit a plan for a 5% reduction in their budget. She further stated that as things stand right now, CPRIT will not be affected by that directive because the agency is not funded out of General Revenue.
- Cost of Cancer Economic Performance Analysis Support Services – Contract Approval (information only)

Reports were accepted, no action required.

Proposed Future Meetings Dates and Agenda Items

After a poll of members for available dates, the June meeting will be held Friday, June 18, 2010. The Executive Committee to meet at 11:30 a.m., and the Oversight Committee meeting will begin at 1:00 p.m.

Public Comment

Chairman Mansour called for public comments. None were submitted.

Adjournment

There being no further business, the meeting was adjourned by Chairman Mansour at 3:00 PM.

Signature J. S. Bevil

Date 6/18/10