

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)  
 APPLICATION ID RP150006  
 APPLICATION TITLE Defining and Treating Targetable Lesions in AYA Acute Lymphoblastic Leukemia  
 APPLICANT NAME Konopleva, Marina  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/25/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
	Applicant notified of outcome	N/A	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	09/24/14	12/12/14
	Primary Reviewer 1 COI signed	10/24/14	12/12/14
	Primary Reviewer 2 COI signed	11/03/14	12/12/14
	Primary Reviewer 3 COI signed	07/27/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/19/14	12/12/14
	Primary Reviewer 2 critique submitted	10/12/14	12/12/14
	Primary Reviewer 3 critique submitted	10/17/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/04/14	12/12/14
	COI indicated by non-primary reviewer	Mullighan, Charles	12/12/14
	COI recused from participation	YES	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (HIRAP)  
 APPLICATION ID RP150014  
 APPLICATION TITLE Multi-Component Interventions for Patients and Providers to Increase HPV Vaccination in a Network of Pediatric Clinics in Houston, TX  
 APPLICANT NAME Vernon, Sally  
 ORGANIZATION The University of Texas Health Science Center at Houston  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/26/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
2. Receipt, Referral, and Assignment	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
3. Preliminary Evaluation	Primary Reviewer 3 COI signed	N/A	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	N/A	12/23/14
4. Peer Review Meeting	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/24/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/05/14	12/23/14
	Primary Reviewer 2 critique submitted	10/05/14	12/23/14
	Primary Reviewer 3 critique submitted	10/14/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/23/14
	COI indicated by non-primary reviewer	Kushi, Lawrence	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
Peer Review Meeting	10/28/14	12/23/14	
Post review statements signed	10/28/14	12/23/14	
Third Party Observer Report	11/17/14	12/23/14	
Score report delivered to CSO	11/25/14	12/23/14	
5. Final SRC Recommendation	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
6. PIC Review	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
7. Oversight Committee Approval	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150030  
 Exploring Molecular and Immune Mechanisms of  
 Response and Resistance to Combined BRAF/MEK  
 APPLICATION TITLE Inhibition in Patients with High-Risk Resectable Metastatic  
 Melanoma  
 APPLICANT NAME Wargo, Jennifer  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	10/02/14	12/12/14
	Primary Reviewer 2 COI signed	09/04/14	12/12/14
	Primary Reviewer 3 COI signed	07/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	09/05/14	12/12/14
	Primary Reviewer 3 critique submitted	09/06/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/02/14	12/12/14
	Primary Reviewer 2 COI signed	10/23/14	12/12/14
	Primary Reviewer 3 COI signed	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	11/01/14	12/12/14
	Primary Reviewer 2 critique submitted	10/29/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
Score report delivered to CSO	11/25/14	12/12/14	
	Recommended for SRC Review	YES	12/12/14
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
APPLICATION ID RP150032  
APPLICATION TITLE Developing New Combinatory Therapies for Pediatric High Grade Glioma  
APPLICANT NAME Li, Xiao-Nan  
ORGANIZATION Baylor College of Medicine  
PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/05/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	Baker, Suzanne	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150053  
 APPLICATION TITLE Mechanisms of Nuclear Import and Export in Cancer  
 APPLICANT NAME Chook, Yuh Min  
 ORGANIZATION The University of Texas Southwestern Medical Center  
 PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/31/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/21/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/28/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	Houchens, David	12/24/14
	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Sonenberg, Nahum	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150079  
 APPLICATION TITLE Elucidating the Evolution of the Premalignant Airway Genome in Space and Time  
 APPLICANT NAME Kadara, Humam  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/23/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/12/14	12/23/14
	Primary Reviewer 2 critique submitted	08/13/14	12/23/14
	Primary Reviewer 3 critique submitted	08/17/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	Mucci, Lorelei	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
4. Peer Review Meeting	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/13/14	12/23/14
	Primary Reviewer 2 critique submitted	09/23/14	12/23/14
	Primary Reviewer 3 critique submitted	10/03/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/23/14
	COI indicated by non-primary reviewer	Mucci, Lorelei	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
Recommended for SRC Review	YES	12/23/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150081  
**APPLICATION TITLE** Genetic Susceptibility to Testicular Germ Cell Tumors  
**APPLICANT NAME** Heaney, Jason  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	08/04/14	12/24/14
	Primary Reviewer 3 COI signed	08/07/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	09/30/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150084  
APPLICATION TITLE Role of PTEN Feedback Mechanism in Cancer  
APPLICANT NAME Song, Min Sup  
ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	08/06/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/22/14	12/24/14
	Primary Reviewer 2 critique submitted	08/06/14	12/24/14
	Primary Reviewer 3 critique submitted	08/22/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	08/06/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/23/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/31/15
	COI recused from participation	N/A	01/31/15
	SRC Meeting	01/06/15	01/31/15
	Third Party Observer Report	01/07/15	01/31/15
	Recommended for grant award	YES	01/31/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/31/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150093  
 APPLICATION TITLE Targeting 17q23 Amplicon in HER2-Positive Breast Cancer  
 APPLICANT NAME Zhang, Xinna  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/05/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/11/14	12/24/14
	Primary Reviewer 3 critique submitted	09/01/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	08/05/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	07/26/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/19/14	12/24/14
	Primary Reviewer 2 critique submitted	10/07/14	12/24/14
	Primary Reviewer 3 critique submitted	10/21/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/19/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150094  
 APPLICATION TITLE Investigating the Regulation of miRNA and lncRNAs by p63  
 in Mammary Tumor Progression and Metastasis  
 APPLICANT NAME Flores, Elsa  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/27/14	12/24/14
	Primary Reviewer 2 critique submitted	10/07/14	12/24/14
	Primary Reviewer 3 critique submitted	10/19/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	Pure, Ellen	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150102  
 APPLICATION TITLE Genome Stability and Immune Diversity Controlled by the POLQ Pathway  
 APPLICANT NAME McBride, Kevin  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/31/14	12/24/14
	Primary Reviewer 2 critique submitted	08/20/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/20/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150129  
**APPLICATION TITLE** Drug Discovery and Mechanistic Studies of Protein Methylation Targeting Leukemia  
**APPLICANT NAME** Song, Yongcheng  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
4. Peer Review Meeting	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/08/14	12/24/14
	Primary Reviewer 3 critique submitted	10/14/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150148  
 APPLICATION TITLE Identifying Drivers of Lung Metastasis in Triple Negative Breast Cancer  
 APPLICANT NAME Piwnica-Worms, Helen  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	08/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/28/14	12/24/14
	Primary Reviewer 2 critique submitted	08/26/14	12/24/14
	Primary Reviewer 3 critique submitted	08/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	08/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
	Primary Reviewer 3 critique submitted	10/14/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/23/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150164  
**APPLICATION TITLE** Using Imaging and Computational Tools to Improve Risk Stratification in Children with Bone Cancer  
**APPLICANT NAME** Leavey, Patrick  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/27/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/31/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/13/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/18/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150166  
 APPLICATION TITLE Prostate Cancer Chemoprevention with Resveratrol  
 APPLICANT NAME Kumar, Addanki  
 ORGANIZATION The University of Texas Health Science Center at San Antonio  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/24/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/15/14	12/23/14
3. Preliminary Evaluation	Primary Reviewer 3 COI signed	08/11/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	08/20/14	12/23/14
	Primary Reviewer 2 critique submitted	08/20/14	12/23/14
	Primary Reviewer 3 critique submitted	08/11/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	Kristal, Alan	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
4. Peer Review Meeting	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/15/14	12/23/14
	Primary Reviewer 3 COI signed	08/11/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/29/14	12/23/14
	Primary Reviewer 1 critique submitted	10/26/14	12/23/14
	Primary Reviewer 2 critique submitted	10/02/14	12/23/14
	Primary Reviewer 3 critique submitted	09/30/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/26/14	12/23/14
	COI indicated by non-primary reviewer	Kristal, Alan	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
5. Final SRC Recommendation	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
6. PIC Review	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
7. Oversight Committee Approval	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
Award approved by Oversight Committee	YES	02/18/15	
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150179  
 APPLICATION TITLE Regulation of Dormancy of Metastatic Prostate Cancer Cells by Bone Microenvironment  
 APPLICANT NAME Lin, Sue-Hwa  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	08/29/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/24/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/01/14	12/24/14
	Primary Reviewer 2 COI signed	08/29/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/20/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.



CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IRAP)  
 APPLICATION ID RP150195  
 APPLICATION TITLE Mechanisms of DHA and EPA Differential Effects on Colon Cancer Chemoprevention  
 APPLICANT NAME Shureiqi, Imad  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/23/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
	Primary Reviewer 3 COI signed	N/A	12/23/14
3. Preliminary Evaluation	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	N/A	12/23/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
4. Peer Review Meeting	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	08/15/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/26/14	12/23/14
	Primary Reviewer 2 critique submitted	10/13/14	12/23/14
	Primary Reviewer 3 critique submitted	10/02/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/23/14	12/23/14
	COI indicated by non-primary reviewer	NONE	12/23/14
	COI recused from participation	N/A	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
Third Party Observer Report	11/17/14	12/23/14	
Score report delivered to CSO	11/25/14	12/23/14	
Recommended for SRC Review	YES	12/23/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150197  
APPLICATION TITLE Understanding How NCOA6 Suppresses Endometrial Cancer by Inhibiting the Wnt/beta-Catenin Pathway  
APPLICANT NAME Xu, Jianming  
ORGANIZATION Baylor College of Medicine  
PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/25/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/23/14	12/24/14
	Primary Reviewer 2 critique submitted	08/27/14	12/24/14
	Primary Reviewer 3 critique submitted	08/24/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
Third Party Observer Report	11/17/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150224  
 APPLICATION TITLE Discovering the Molecular Mechanisms that Determine Replicative Lifespan  
 APPLICANT NAME Tyler, Jessica  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/20/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/03/14	12/24/14
	Primary Reviewer 2 critique submitted	09/02/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Edelmann, Winifried	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
Applicant notified of outcome	10/17/14	12/24/14	
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/28/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/27/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/21/14	12/24/14
	COI indicated by non-primary reviewer	Edelmann, Winifried	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IIRAP)  
 APPLICATION ID RP150228  
 APPLICATION TITLE Varenicline and Combined NRT for Initial Smoking Cessation and Rescue Treatment in Smokers: A Randomized Pilot Trial  
 APPLICANT NAME Cinciripini, Paul  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/26/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
	Primary Reviewer 3 COI signed	N/A	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	N/A	12/23/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
4. Peer Review Meeting	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/14/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/29/14	12/23/14
	Primary Reviewer 1 critique submitted	10/07/14	12/23/14
	Primary Reviewer 2 critique submitted	10/07/14	12/23/14
	Primary Reviewer 3 critique submitted	10/09/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/23/14
	COI indicated by non-primary reviewer	Brandon, Thomas	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
Third Party Observer Report	11/17/14	12/23/14	
Score report delivered to CSO	11/25/14	12/23/14	
	Recommended for SRC Review	YES	12/23/14
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150230  
APPLICATION TITLE Counteracting Tumor Evasion of Antibody Immunity by a Novel Therapeutic Strategy  
APPLICANT NAME An, Zhiqiang  
ORGANIZATION The University of Texas Health Science Center at Houston  
PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	07/31/14	12/12/14
	Primary Reviewer 2 COI signed	09/04/14	12/12/14
	Primary Reviewer 3 COI signed	07/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/26/14	12/12/14
	Primary Reviewer 2 critique submitted	09/05/14	12/12/14
	Primary Reviewer 3 critique submitted	09/18/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	11/07/14	12/12/14
	Primary Reviewer 2 COI signed	10/26/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
	Primary Reviewer 1 critique submitted	10/23/14	12/12/14
	Primary Reviewer 2 critique submitted	10/27/14	12/12/14
	Primary Reviewer 3 critique submitted	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
Score report delivered to CSO	11/25/14	12/12/14	
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150231  
 APPLICATION TITLE Function of Fibroblasts and Collagen I in Pancreas Cancer  
 APPLICANT NAME Kalluri, Raghu  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/29/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/22/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/29/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/16/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	Kripke, Margaret*	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke**	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\* Dr. Kripke is not a peer reviewer, but attends peer review meetings as an observer in her capacity as Chief Scientific Officer, as allowed in her COI waiver.

Because of the professional relationship between the applicant and Dr. Fidler, out of extreme caution Dr. Kripke chose not to be present when the application was discussed.

\*\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150232  
**APPLICATION TITLE** The Role of Progesterone Receptor in Early Stage Breast Cancer.  
**APPLICANT NAME** Edwards, Dean  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	10/09/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
<b>3. Preliminary Evaluation</b>	Primary Reviewer 1 critique submitted	09/03/14	12/24/14
	Primary Reviewer 2 critique submitted	09/02/14	12/24/14
	Primary Reviewer 3 critique submitted	08/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
<b>4. Peer Review Meeting</b>	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	10/09/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/19/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
Third Party Observer Report	11/03/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
<b>5. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>6. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>7. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150235  
 APPLICATION TITLE Role of TBK1 in Regulating Dendritic Cell Function and Antitumor Immunity.  
 APPLICANT NAME Sun, Shao-Cong  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/23/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	07/25/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	08/01/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/28/14	12/12/14
	Primary Reviewer 2 critique submitted	09/08/14	12/12/14
	Primary Reviewer 3 critique submitted	08/30/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/23/14	12/12/14
	Primary Reviewer 2 COI signed	10/28/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	10/23/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	Cooney, Kathleen	12/12/14
	COI recused from participation	YES	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.



**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150242  
**APPLICATION TITLE** Functional and Structural Characterization of a Small Chemical Compound that Arrests Glioma Stem Cell Growth with High Activity and Specificity  
**APPLICANT NAME** Parada, Luis  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150245  
 APPLICATION TITLE EGFR Arginine Methylations: Biomarkers for Cetuximab Resistance in colon cancer  
 APPLICANT NAME Hung, Mien-Chie  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/26/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/16/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150277  
APPLICATION TITLE Vertical Targeting of the B Cell Receptor in Leukemia and Lymphoma  
APPLICANT NAME Aguiar, Ricardo  
ORGANIZATION The University of Texas Health Science Center at San Antonio  
PANEL NAME Translational Cancer Research (TCR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
4. Peer Review Meeting	Applicant notified of outcome	N/A	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/26/14	12/12/14
	Primary Reviewer 2 COI signed	11/02/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	10/26/14	12/12/14
	Primary Reviewer 2 critique submitted	11/03/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
5. Final SRC Recommendation	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150282  
APPLICATION TITLE Mechanisms of De Novo and Acquired Resistance to Therapeutic Treatment of Bone-Metastatic Prostate Cancer  
APPLICANT NAME Gallick, Gary  
ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/22/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/22/14	12/24/14
	Primary Reviewer 2 critique submitted	08/26/14	12/24/14
	Primary Reviewer 3 critique submitted	08/28/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/22/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150292  
**APPLICATION TITLE** Broad Shortening of 3' UTRs in Human Cancers: Methods, Target Genes and Functional Consequences  
**APPLICANT NAME** Li, Wei  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
<b>3. Preliminary Evaluation</b>	Primary Reviewer 1 critique submitted	09/01/14	12/24/14
	Primary Reviewer 2 critique submitted	08/31/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
<b>4. Peer Review Meeting</b>	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/18/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/20/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
Third Party Observer Report	10/31/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
<b>5. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>6. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>7. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150293  
 APPLICATION TITLE Identification of Clinically Relevant Targets for Radiosensitization  
 APPLICANT NAME Skinner, Heath  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/24/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	09/29/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/16/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)  
 APPLICATION ID RP150301  
 APPLICATION TITLE Epigenetics in Medulloblastoma Development and Therapeutics  
 APPLICANT NAME Gopalakrishnan, Vidya  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/28/14	12/24/14
	Primary Reviewer 2 COI signed	08/22/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150316  
 APPLICATION TITLE T-Cell Activating Immunotherapy for Indolent B-cell Malignancies  
 APPLICANT NAME Neelapu, Sattva  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/23/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	08/08/14	12/12/14
	Primary Reviewer 2 COI signed	08/26/14	12/12/14
	Primary Reviewer 3 COI signed	08/03/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/17/14	12/12/14
	Primary Reviewer 2 critique submitted	09/06/14	12/12/14
	Primary Reviewer 3 critique submitted	09/22/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	11/09/14	12/12/14
	Primary Reviewer 2 COI signed	10/25/14	12/12/14
	Primary Reviewer 3 COI signed	11/02/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
	Primary Reviewer 1 critique submitted	11/09/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
	Primary Reviewer 3 critique submitted	11/03/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.



CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150319  
 APPLICATION TITLE Leukemia Inhibitory Factor Receptor Signaling and Function in Cancer  
 APPLICANT NAME Ma, Li  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/22/14	12/24/14
	Primary Reviewer 3 critique submitted	08/23/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150334  
**APPLICATION TITLE** Personalized Functionalization of Pediatric High Grade Glioma  
**APPLICANT NAME** Deneen, Benjamin  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/20/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/26/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
	COI indicated by non-primary reviewer	Baker, Suzanne	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150343  
**APPLICATION TITLE** An Ultra-Sensitive Nanomagnetic Sensor for the Early Detection of Anaplastic Large Cell Lymphoma  
**APPLICANT NAME** Willson, Richard  
**ORGANIZATION** University of Houston  
**PANEL NAME** Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	10/12/14	12/24/14
	Primary Reviewer 2 COI signed	09/08/14	12/24/14
	Primary Reviewer 3 COI signed	07/27/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/18/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150346  
 APPLICATION TITLE Targeting Twist1 for Prevention and Treatment of Non-Melanoma Skin Cancer  
 APPLICANT NAME DiGiovanni, John  
 ORGANIZATION The University of Texas at Austin  
 PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
	Date application submitted	06/25/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
	Applicant notified of review panel assignment	08/11/14	12/23/14
	Primary Reviewer 1 COI signed	08/15/14	12/23/14
	Primary Reviewer 2 COI signed	07/23/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/20/14	12/23/14
	Primary Reviewer 2 critique submitted	08/13/14	12/23/14
	Primary Reviewer 3 critique submitted	08/20/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
	COI indicated by non-primary reviewer	NONE	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
4. Peer Review Meeting	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	08/15/14	12/23/14
	Primary Reviewer 2 COI signed	07/23/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/05/14	12/23/14
	Primary Reviewer 2 critique submitted	10/01/14	12/23/14
	Primary Reviewer 3 critique submitted	10/10/14	12/23/14
	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/23/14
	COI indicated by non-primary reviewer	NONE	12/23/14
	COI recused from participation	N/A	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
Recommended for SRC Review	YES	12/23/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell; A. Angelou*	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\* Mr. Angelou was not present at the Oversight Committee meeting on 2/18/15 and therefore did not vote on RP150346.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150356  
 APPLICATION TITLE Peripheral Nerve Tolerance to Single-Session Stereotactic Irradiation  
 APPLICANT NAME Medin, Paul  
 ORGANIZATION The University of Texas Southwestern Medical Center  
 PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/27/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/21/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Wu, Anna	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/03/14	12/24/14
	Primary Reviewer 2 critique submitted	10/09/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	Wu, Anna	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
Third Party Observer Report	10/27/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150386  
**APPLICATION TITLE** A Phase I Trial of Stereotactic Hypofractionated RadioAblative (HYDRA) Treatment of Advanced Laryngeal Cancer  
**APPLICANT NAME** Schwartz, David  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/27/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	NO	12/12/14
	Appeal to submit application after CARS closed	06/26/14	12/12/14
	Appeal for late application submission accepted	YES	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	09/04/14	12/12/14
	Primary Reviewer 2 COI signed	08/19/14	12/12/14
	Primary Reviewer 3 COI signed	08/02/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/05/14	12/12/14
	Primary Reviewer 2 critique submitted	08/30/14	12/12/14
	Primary Reviewer 3 critique submitted	08/27/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/08/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	09/24/14	12/12/14
	Primary Reviewer 1 COI signed	11/08/14	12/12/14
	Primary Reviewer 2 COI signed	11/07/14	12/12/14
	Primary Reviewer 3 COI signed	11/07/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/20/14	12/12/14
	Primary Reviewer 2 critique submitted	10/12/14	12/12/14
	Primary Reviewer 3 critique submitted	10/21/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/07/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150403  
 APPLICATION TITLE On the Role of DEAR1 in the Regulation of Cell Polarity and Progression from DCIS to Invasive Breast Cancer  
 APPLICANT NAME Killary, Ann  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/25/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/28/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150405  
 APPLICATION TITLE Tumor Cell Epithelial-Mesenchymal Transition in Regulating Immunosuppression and Metastasis in Lung Cancer  
 APPLICANT NAME Gibbons, Don  
 ORGANIZATION The University of Texas M. D. Anderson Cancer Center  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/26/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	07/25/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	09/04/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/06/14	12/12/14
	Primary Reviewer 2 critique submitted	09/08/14	12/12/14
	Primary Reviewer 3 critique submitted	09/06/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/28/14	12/12/14
	Primary Reviewer 2 COI signed	10/28/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
	Primary Reviewer 1 critique submitted	10/28/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
	Primary Reviewer 3 critique submitted	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.



**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150408  
**APPLICATION TITLE** Cellular Mechanisms of Chemotherapy-Induced Peripheral Neuropathy  
**APPLICANT NAME** Stockand, James  
**ORGANIZATION** The University of Texas Health Science Center at San Antonio  
**PANEL NAME** Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	08/14/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/06/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
<b>3. Preliminary Evaluation</b>	Primary Reviewer 1 critique submitted	08/23/14	12/24/14
	Primary Reviewer 2 critique submitted	08/05/14	12/24/14
	Primary Reviewer 3 critique submitted	08/06/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
<b>4. Peer Review Meeting</b>	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/14/14	12/24/14
	Primary Reviewer 2 COI signed	08/11/14	12/24/14
	Primary Reviewer 3 COI signed	08/06/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/12/14	12/24/14
	Primary Reviewer 3 critique submitted	10/19/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	11/02/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
<b>5. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>6. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>7. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
 APPLICATION ID RP150416  
 APPLICATION TITLE Translational Investigations On Fenretinide and Safingol For Pediatric Cancer Use  
 APPLICANT NAME Maurer, Barry  
 ORGANIZATION Texas Tech University Health Sciences Center  
 PANEL NAME Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
	Applicant notified of outcome	N/A	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	09/24/14	12/12/14
	Primary Reviewer 1 COI signed	11/07/14	12/12/14
	Primary Reviewer 2 COI signed	11/08/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/12/14	12/12/14
	Primary Reviewer 2 critique submitted	10/21/14	12/12/14
	Primary Reviewer 3 critique submitted	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/07/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IIRAP)  
 APPLICATION ID RP150421  
 APPLICATION TITLE High-throughput Screening and Detection of Circulating Tumor Cells  
 APPLICANT NAME Ugaz, Victor  
 ORGANIZATION Texas Engineering Experiment Station  
 PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	09/08/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/13/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150440  
APPLICATION TITLE Effects of Hormonal Therapy on Subclonal Evolution of Breast Tumors with ESR1 Mutations  
APPLICANT NAME Fuqua, Suzanne  
ORGANIZATION Baylor College of Medicine  
PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	10/09/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/31/14	12/24/14
	Primary Reviewer 3 critique submitted	09/01/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Fearon, Eric	12/24/14
	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
	COI indicated by non-primary reviewer	Knudsen, Karen	12/24/14
	COI indicated by non-primary reviewer	Lawlor, Elizabeth	12/24/14
	COI indicated by non-primary reviewer	Roberts, Charles	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
4. Peer Review Meeting	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	10/09/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/16/14	12/24/14
	Primary Reviewer 2 critique submitted	10/19/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	Fearon, Eric	12/24/14
	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Lawlor, Elizabeth	12/24/14
	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Roberts, Charles	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
Post review statements signed	11/21/14	12/24/14	
Third Party Observer Report	11/03/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
7. Oversight Committee Approval	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards for Cancer in Children and Adolescents (IRACCA)  
**APPLICATION ID** RP150445  
**APPLICATION TITLE** Ewing's Sarcoma, a Homologous Recombination Defective Disease  
**APPLICANT NAME** Bishop, Alexander  
**ORGANIZATION** The University of Texas Health Science Center at San Antonio  
**PANEL NAME** Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
4. Peer Review Meeting	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/06/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/11/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
Post review statements signed	11/19/14	12/24/14	
Third Party Observer Report	11/17/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	NO	02/18/15	
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IIRAP)  
 APPLICATION ID RP150449  
 APPLICATION TITLE Noninvasive Multiscale Imaging for Optical Biopsy in Epithelial Cancers  
 APPLICANT NAME Vargas, Gracie  
 ORGANIZATION The University of Texas Medical Branch at Galveston  
 PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
Applicant notified of outcome	N/A	12/24/14	
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/10/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS**  
**APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Research  
**AWARD MECHANISM** Individual Investigator Research Awards (IIRA)  
**APPLICATION ID** RP150451  
**APPLICATION TITLE** SRC-2 driven "Metabolic Switch" in Metastatic Prostate Cancer- Prognostic and Therapeutic Implications  
**APPLICANT NAME** Sreekumar, Arun  
**ORGANIZATION** Baylor College of Medicine  
**PANEL NAME** Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/25/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/28/14	12/24/14
	Primary Reviewer 2 critique submitted	08/25/14	12/24/14
	Primary Reviewer 3 critique submitted	08/31/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Costello, Joseph	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
4. Peer Review Meeting	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/20/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/30/14	12/24/14
	COI indicated by non-primary reviewer	Costello, Joseph	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150454  
 APPLICATION TITLE Tumor Suppression Through the cGAMP/STING Pathway  
 APPLICANT NAME Li, Pingwei  
 ORGANIZATION Texas A&M University  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	08/05/14	12/12/14
	Primary Reviewer 2 COI signed	07/25/14	12/12/14
	Primary Reviewer 3 COI signed	09/08/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/12/14	12/12/14
	Primary Reviewer 2 critique submitted	09/18/14	12/12/14
	Primary Reviewer 3 critique submitted	09/09/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/23/14	12/12/14
	Primary Reviewer 2 COI signed	10/26/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	11/02/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
Score report delivered to CSO	11/25/14	12/12/14	
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

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CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150456  
APPLICATION TITLE TAMU–UT Southwestern Partnership for Breast Imaging and Spectroscopy at 7 Tesla  
APPLICANT NAME Malloy, Craig  
ORGANIZATION The University of Texas Southwestern Medical Center  
PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/27/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
Applicant notified of outcome	N/A	12/24/14	
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	08/26/14	12/24/14
	Primary Reviewer 2 COI signed	10/12/14	12/24/14
	Primary Reviewer 3 COI signed	08/07/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
6. PIC Review	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
7. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE

FY 2015  
CYCLE 1  
PROGRAM Research  
AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
APPLICATION ID RP150485  
APPLICATION TITLE Translating Online Adaptive Radiotherapy from Lab to Clinical Practice  
APPLICANT NAME Jiang, Steve  
ORGANIZATION The University of Texas Southwestern Medical Center  
PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/27/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
Appeal for late application submission accepted	YES	12/24/14	
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
	Applicant notified of review panel assignment	08/11/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	08/21/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
Applicant notified of outcome	10/17/14	12/24/14	
4. Peer Review Meeting	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/09/14	12/24/14
	Primary Reviewer 2 critique submitted	10/05/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
Third Party Observer Report	10/27/14	12/24/14	
Score report delivered to CSO	11/25/14	12/24/14	
Recommended for SRC Review	YES	12/24/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Research  
 AWARD MECHANISM Individual Investigator Research Awards (IIRA)  
 APPLICATION ID RP150498  
 APPLICATION TITLE Harnessing the Cytosolic DNA Sensing Pathway for Cancer Immunotherapy  
 APPLICANT NAME Chen, Zhijian  
 ORGANIZATION The University of Texas Southwestern Medical Center  
 PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
	Date application submitted	06/27/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	NO	12/12/14
	Appeal to submit application after CARS closed	06/26/14	12/12/14
	Appeal for late application submission accepted	YES	12/12/14
2. Receipt, Referral, and Assignment	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
	Applicant notified of review panel assignment	08/11/14	12/12/14
	Primary Reviewer 1 COI signed	08/04/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	07/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
3. Preliminary Evaluation	Primary Reviewer 1 critique submitted	09/22/14	12/12/14
	Primary Reviewer 2 critique submitted	09/12/14	12/12/14
	Primary Reviewer 3 critique submitted	09/18/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
4. Peer Review Meeting	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	11/04/14	12/12/14
	Primary Reviewer 2 COI signed	10/23/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	11/04/14	12/12/14
	Primary Reviewer 2 critique submitted	11/02/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
Score report delivered to CSO	11/25/14	12/12/14	
Recommended for SRC Review	YES	12/12/14	
5. Final SRC Recommendation	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
7. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Advance authority approved by Oversight Committee	NO	02/18/15	

The identity of the attesting party is retained by CPRIT.

**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 2  
**PROGRAM** Research - Recruitment  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR150032  
**APPLICATION TITLE** Recruitment of First-Time, Tenure-Track Faculty Member - Jenna Lee Jewell, Ph.D.  
**NOMINATOR NAME** Fitz, John  
**CANDIDATE NAME** Jewell, Jenna  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Recruitment Review Panel 3 (RRP-3)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	09/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	11/20/14	01/13/15
	Date application submitted	11/20/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
	Assigned to primary reviewers	12/17/14	01/13/15
	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	12/24/14	01/13/15
	Primary Reviewer 2 critique submitted	01/02/15	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	Discussed at Peer Review Meeting	YES	01/13/15
	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
Recommended for SRC Review	YES	01/13/15	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>5. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 2  
**PROGRAM** Research - Recruitment  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR150033  
**APPLICATION TITLE** Recruitment of First-Time, Tenure-Track Faculty Member - Vincent S. Tagliabracci, Ph.D.  
**NOMINATOR NAME** Fitz, John  
**CANDIDATE NAME** Tagliabracci, Vincent  
**ORGANIZATION** The University of Texas Southwestern Medical Center  
**PANEL NAME** Recruitment Review Panel 3 (RRP-3)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	09/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	11/20/14	01/13/15
	Date application submitted	11/20/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
	Assigned to primary reviewers	12/17/14	01/13/15
	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	12/24/14	01/13/15
	Primary Reviewer 2 critique submitted	12/31/14	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	Discussed at Peer Review Meeting	YES	01/13/15
	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
Recommended for SRC Review	YES	01/13/15	
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>5. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 2  
**PROGRAM** Research - Recruitment  
**AWARD MECHANISM** Recruitment of First-Time Faculty Members (RFTFM)  
**APPLICATION ID** RR150038  
  
**APPLICATION TITLE** Recruitment of First-Time Tenure-Track Faculty Member –  
 Dr. Jonathan Sczepanski and Develop Novel Therapies  
  
**NOMINATOR NAME** Newton, Howard  
**CANDIDATE NAME** Sczepanski, Jonathan  
**ORGANIZATION** Texas A&M University  
**PANEL NAME** Recruitment Review Panel 4 (RRP-4)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	11/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	12/20/14	01/13/15
	Date application submitted	12/19/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
<b>2. Receipt, Referral, and Assignment</b>	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
	Assigned to primary reviewers	12/30/14	01/13/15
	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
<b>3. Peer Review Meeting</b>	Primary Reviewer 1 critique submitted	01/02/15	01/13/15
	Primary Reviewer 2 critique submitted	01/05/15	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	Discussed at Peer Review Meeting	YES	01/13/15
	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
	Recommended for SRC Review	YES	01/13/15
<b>4. Final SRC Recommendation</b>	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	SRC Meeting	01/06/15	01/13/15
	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
<b>5. PIC Review</b>	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
<b>6. Oversight Committee Approval</b>	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Product Development  
**AWARD MECHANISM** New Company Product Development Awards (NEWCO)  
**APPLICATION ID** DP150021  
**APPLICATION TITLE** Development of Rhenium Nanoliposomes for Cancer Therapy  
**APPLICANT NAME** Brenner, Andrew  
**ORGANIZATION** NanoTx Therapeutics  
**PANEL NAME** Product Development Panel-1 (PDP-1)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/29/14	11/07/14
	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
2. Receipt, Referral, and Assignment	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
	Applicant notified of review panel assignment	07/11/14	11/07/14
	Primary Reviewer 1 COI signed	06/19/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/13/14	11/07/14
	Primary Reviewer 3 COI signed	06/18/14	11/07/14
3. Peer Review: Screening Teleconference	Primary Reviewer 4 COI signed	06/17/14	11/07/14
	Primary Reviewer 1 critique submitted	07/18/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/18/14	11/07/14
	Primary Reviewer 3 critique submitted	07/18/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: Screening Teleconference	07/15/14	11/07/14
	Post-Screening Teleconference score report	07/15/14	11/07/14
	Post review statements signed	08/12/14	11/07/14
4. Peer Review: On-Site Meeting	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/12/14-08/13/14	11/07/14
	Post review statements signed	08/26/14	11/07/14
	Third Party Observer Report	08/13/14	11/07/14
5. Due Diligence and IP Review	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	01/26/15
6. Final PDRC Recommendation	Intellectual Property conflict check	11/17/14	01/30/15
	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
7. PIC Review	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
8. Oversight Committee Approval	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
Presented to CPRIT Oversight Committee	02/18/15	02/18/15	
Award approved by Oversight Committee	YES	02/18/15	
Authority to advance funds requested	02/10/15	02/18/15	
Advance authority approved by Oversight Committee	YES	02/18/15	

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**CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS  
APPLICATION PEDIGREE**

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Product Development  
**AWARD MECHANISM** New Company Product Development Awards (NEWCO)  
**APPLICATION ID** DP150029  
**APPLICATION TITLE** Personalized Cellular Immunotherapy against Novel Cancer Targets  
**APPLICANT NAME** Kuttruff-Coqui, Sabrina  
**ORGANIZATION** Immatics Biotechnologies  
**PANEL NAME** Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
<b>1. Pre-Receipt</b>	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/28/14	11/07/14
	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
<b>2. Receipt, Referral, and Assignment</b>	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
	Applicant notified of review panel assignment	07/11/14	11/07/14
	Primary Reviewer 1 COI signed	06/18/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/16/14	11/07/14
<b>3. Peer Review: Screening Teleconference</b>	Primary Reviewer 3 COI signed	06/16/14	11/07/14
	Primary Reviewer 4 COI signed	06/20/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/16/14	11/07/14
	Primary Reviewer 3 critique submitted	07/17/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
<b>4. Peer Review: On-Site Meeting</b>	Post review statements signed	08/14/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
	Post review statements signed	08/15/14	11/07/14
<b>5. Due Diligence and IP Review</b>	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
<b>6. Final PDRC Recommendation</b>	Final due diligence review submitted to PDRC	12/26/14	01/26/15
	Intellectual Property conflict check	11/17/14	01/30/15
	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
<b>7. PIC Review</b>	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
<b>8. Oversight Committee Approval</b>	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
Presented to CPRIT Oversight Committee	02/18/15	02/18/15	
Award approved by Oversight Committee	YES	02/18/15	
Authority to advance funds requested	02/10/15	02/18/15	
Advance authority approved by Oversight Committee	YES	02/18/15	

The identity of the attesting party is retained by CPRIT.



CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

FY 2015  
 CYCLE 1  
 PROGRAM Product Development  
 AWARD MECHANISM New Company Product Development Awards (NEWCO)  
 APPLICATION ID DP150031  
 APPLICATION TITLE A Multi-Targeted Approach For Recurrent Glioblastoma and Other Aggressive Cancers: Exploiting The Potential Of IL-4 Fusion Proteins Treatment of Cancer  
 APPLICANT NAME Merchant, Fahar  
 ORGANIZATION Medicenna Therapeutics, Inc.  
 PANEL NAME Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/28/14	11/07/14
	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
2. Receipt, Referral, and Assignment	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
	Applicant notified of review panel assignment	07/11/14	11/07/14
	Primary Reviewer 1 COI signed	06/18/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/16/14	11/07/14
	Primary Reviewer 3 COI signed	06/20/14	11/07/14
3. Peer Review: Screening Teleconference	Primary Reviewer 4 COI signed	06/23/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/16/14	11/07/14
	Primary Reviewer 3 critique submitted	07/16/14	11/07/14
	Primary Reviewer 4 critique submitted	07/16/14	11/07/14
	COI indicated by non-primary reviewer	Lloyd, Ramona	11/07/14
	COI recused from participation	YES	11/07/14
	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
4. Peer Review: On-Site Meeting	Post review statements signed	08/14/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	Lloyd, Ramona	11/07/14
	COI recused from participation	YES	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
	Post review statements signed	08/15/14	11/07/14
5. Due Diligence and IP Review	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
6. Final PDRC Recommendation	Final due diligence review submitted to PDRC	12/26/14	01/26/15
	Intellectual Property conflict check	11/17/14	01/30/15
	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	Geltosky, Jack	01/13/15
7. PIC Review	COI recused from participation	YES	01/13/15
	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
8. Oversight Committee Approval	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
8. Oversight Committee Approval	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	02/10/15	02/18/15
Advance authority approved by Oversight Committee	YES	02/18/15	

The identity of the attesting party is retained by CPRIT.

CANCER PREVENTION AND RESEARCH INSTITUTE OF TEXAS

APPLICATION PEDIGREE

**FY** 2015  
**CYCLE** 1  
**PROGRAM** Product Development  
**AWARD MECHANISM** New Company Product Development Awards (NEWCO)  
**APPLICATION ID** DP150039  
**APPLICATION TITLE** Armada Pharmaceuticals Inc. New Company Formation For Development of Anti-Cancer Antibody-Drug Conjugate Therapeutics  
**APPLICANT NAME** Tikhomirov, Iliia  
**ORGANIZATION** Armada Pharmaceuticals, Inc  
**PANEL NAME** Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/29/14	11/07/14
	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
2. Receipt, Referral, and Assignment	Submission of application fee	YES	01/26/15
	Administrative review notification	06/16/14	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
	Applicant notified of review panel assignment	07/11/14	11/07/14
	Primary Reviewer 1 COI signed	06/23/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/12/14	11/07/14
	Primary Reviewer 3 COI signed	06/16/14	11/07/14
3. Peer Review: Screening Teleconference	Primary Reviewer 4 COI signed	06/20/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/17/14	11/07/14
	Primary Reviewer 3 critique submitted	07/17/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
	Post review statements signed	08/14/14	11/07/14
4. Peer Review: On-Site Meeting	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
	Post review statements signed	08/15/14	11/07/14
	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
5. Due Diligence and IP Review	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	01/26/15
	Intellectual Property conflict check	11/17/14	01/30/15
6. Final PDRC Recommendation	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
7. PIC Review	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
8. Oversight Committee Approval	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
Authority to advance funds requested	02/10/15	02/18/15	
Advance authority approved by Oversight Committee	YES	02/18/15	

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