2015 CYCLE PROGRAM Research

APPLICANT NAME

Individual Investigator Research Awards for Cancer in AWARD MECHANISM

Children and Adolescents (IIRACCA)

APPLICATION ID RP150006

Defining and Treating Targetable Lesions in AYA Acute APPLICATION TITLE

Lymphoblastic Leukemia

Konopleva, Marina

ORGANIZATION The University of Texas M. D. Anderson Cancer Center PANEL NAME Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/25/14	12/12/14
1. Fre-Neceipt	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
	Applicant notified of outcome	N/A	12/12/14
	Assigned to primary reviewers	09/24/14	12/12/14
	Primary Reviewer 1 COI signed	10/24/14	12/12/14
	Primary Reviewer 2 COI signed	11/03/14	12/12/14
	Primary Reviewer 3 COI signed	07/27/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/19/14	12/12/14
	Primary Reviewer 2 critique submitted	10/12/14	12/12/14
	Primary Reviewer 3 critique submitted	10/17/14	12/12/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	11/04/14	12/12/14
Meeting	COI indicated by non-primary reviewer	Mullighan, Charles	12/12/14
	COI recused from participation	YES	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/00/15	01/13/15
Recommendation	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15 NONE	01/13/15
	COI indicated by PIC member COI recused from participation		02/03/15
6. PIC Review		M. Kripke*	02/03/15
	PIC review meeting	02/03/15 YES	02/03/15 02/03/15
	Recommended for grant award		
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications. The identity of the attesting party is retained by CPRIT.

2015 CYCLE PROGRAM Research

APPLICATION ID

Individual Investigator Research Awards for Prevention and AWARD MECHANISM

Early Detection (IIRAP)

RP150014

Multi-Component Interventions for Patients and Providers APPLICATION TITLE to Increase HPV Vaccination in a Network of Pediatric

APPLICANT NAME Vernon, Sally

ORGANIZATION The University of Texas Health Science Center at Houston

PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
1. Pre-Receipt	Date application submitted	06/26/14	12/23/14
2. The necespe	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
	Primary Reviewer 3 COI signed	N/A	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/23/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/24/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/05/14	12/23/14
	Primary Reviewer 2 critique submitted	10/05/14	12/23/14
	Primary Reviewer 3 critique submitted	10/14/14	12/23/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/23/14
Meeting	COI indicated by non-primary reviewer	Kushi, Lawrence	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150030

APPLICATION TITLE

RP150030
Exploring Molecular and Immune Mechanisms of Response and Resistance to Combined BRAF/MEK

Inhibition in Patients with High-Risk Resectable Metastatic

Melanoma

APPLICANT NAME Wargo, Jennifer

**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center

PANEL NAME Translational Cancer Research (TCR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
. Pre-Receipt	Date application submitted	06/24/14	12/12/14
Pre-Receipt	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	10/02/14	12/12/14
	Primary Reviewer 2 COI signed	09/04/14	12/12/14
	Primary Reviewer 3 COI signed	07/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	09/05/14	12/12/14
	Primary Reviewer 3 critique submitted	09/06/14	12/12/14
8. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
valuation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/02/14	12/12/14
	Primary Reviewer 2 COI signed	10/23/14	12/12/14
	Primary Reviewer 3 COI signed	10/28/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	11/01/14	12/12/14
	Primary Reviewer 2 critique submitted	10/29/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
I. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
Meeting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	
		11/11/14	12/12/14
	Peer Review Meeting Post review statements signed	11/11/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14 01/13/15
	COI indicated by SRC member	NONE	- , -, -
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
5. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150032

APPLICATION TITLE Developing New Combinatory Therapies for Pediatric High

APPLICATION TITLE
Grade Glioma
APPLICANT NAME
Li, Xiao-Nan

ORGANIZATIONBaylor College of MedicinePANEL NAMEBasic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
L. Pre-Receipt	Date application submitted	06/26/14	12/24/14
i. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/05/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	Baker, Suzanne	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
r rivelone	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15 01/07/15	01/13/15
Recommendation	Third Party Observer Report		01/13/15
	Recommended for grant award  SRC Chair Notification to PIC and OC	YES	01/13/15
	COI indicated by PIC member	01/08/15 NONE	01/13/15 02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review			02/03/15
	PIC review meeting Recommended for grant award	02/03/15 YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	
	COI indicated by Oversignt Committee member  COI recused from participation		02/18/15 02/18/15
7 Oversight		YES	
7. Oversight Committee Approval	Donation(s) made to CPRIT/foundation	NO 02/18/15	02/18/15
committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150053

APPLICATION TITLE Mechanisms of Nuclear Import and Export in Cancer

APPLICANT NAME Chook, Yuh Min

**ORGANIZATION** The University of Texas Southwestern Medical Center

PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
una Assignment			1 1
	Primary Reviewer 2 COI signed	N/A N/A	12/24/14
	Primary Reviewer 3 COI signed		12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
a Budhata	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/31/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/21/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/28/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
Meeting	COI indicated by non-primary reviewer	Houchens, David	12/24/14
ŭ	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Sonenberg, Nahum	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150079

APPLICATION TITLE Elucidating the Evolution of the Premalignant Airway

Genome in Space and Time
APPLICANT NAME
Kadara, Humam

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	06/26/14	12/23/14
	Date application submitted	06/23/14	12/23/14
1. Pre-Receipt	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	07/30/14	12/23/14
ŭ	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	08/12/14	12/23/14
	Primary Reviewer 2 critique submitted	08/13/14	12/23/14
	Primary Reviewer 3 critique submitted	08/17/14	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	Mucci, Lorelei	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/13/14	12/23/14
	Primary Reviewer 2 critique submitted	09/23/14	12/23/14
A. Danie Danieni	Primary Reviewer 3 critique submitted	10/03/14	12/23/14
4. Peer Review Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/23/14
wieeting	COI indicated by non-primary reviewer	Mucci, Lorelei	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

 FY
 2015

 CYCLE
 1

 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150081

**APPLICATION TITLE** Genetic Susceptibility to Testicular Germ Cell Tumors

APPLICANT NAME Heaney, Jason

ORGANIZATIONBaylor College of MedicinePANEL NAMEBasic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/24/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	08/04/14	12/24/14
	Primary Reviewer 3 COI signed	08/07/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	09/30/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

FY 2015 CYCLE 1 PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID

APPLICATION TITLE Role of PTEN Feedback Mechanism in Cancer

APPLICANT NAME Song, Min Sup
ORGANIZATION The University The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1 Due Deseint	Date application submitted	06/24/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	08/06/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/22/14	12/24/14
	Primary Reviewer 2 critique submitted	08/06/14	12/24/14
	Primary Reviewer 2 critique submitted  Primary Reviewer 3 critique submitted	08/22/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
5. Preiiiiiiary Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
Lvaidation	Preliminary Evaluation score summary sent to Chair	09/02/14	
			12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	08/06/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/23/14	12/24/14
ŭ	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/31/15
	COI recused from participation	N/A	01/31/15
5. Final SRC	SRC Meeting	01/06/15	01/31/15
Recommendation	Third Party Observer Report	01/07/15	01/31/15
	Recommended for grant award	YES	01/31/15
	SRC Chair Notification to PIC and OC	01/08/15	01/31/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	·		
•	Donation(s) made to CPRIT/foundation  Presented to CPRIT Oversight Committee	NO	02/18/15
Committee Approval	5	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

Advance authority approved by Oversight Committee NO 02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

 FY
 2015

 CYCLE
 1

 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150093

APPLICATION TITLE Targeting 17q23 Amplicon in HER2-Positive Breast Cancer

APPLICANT NAME Zhang, Xinna

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1 Dro Dossint	Date application submitted	06/23/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	08/05/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/11/14	12/24/14
	Primary Reviewer 3 critique submitted	09/01/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	08/05/14	12/24/14
	Primary Reviewer 2 COI signed		12/24/14
		07/24/14	1. 1.
	Primary Reviewer 3 COI signed	07/26/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/19/14	12/24/14
	Primary Reviewer 2 critique submitted	10/07/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/21/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/19/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
		MEC	02/40/45
	Award approved by Oversight Committee	YES	02/18/15
	Award approved by Oversight Committee Authority to advance funds requested	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

 FY
 2015

 CYCLE
 1

 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150094

APPLICATION TITLE Investigating the Regulation of miRNA and IncRNAs by p63

in Mammary Tumor Progression and Metastasis

APPLICANT NAME Flores, Elsa

**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
nd Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
· ·	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted	N/A N/A	12/24/14 12/24/14
Drolimino	Primary Reviewer 3 critique submitted  Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
. Preliminary		N/A	12/24/14
valuation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/27/14	12/24/14
	Primary Reviewer 2 critique submitted	10/07/14	12/24/14
	Primary Reviewer 3 critique submitted	10/19/14	12/24/14
. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
<b>Meeting</b>	COI indicated by non-primary reviewer	Pure, Ellen	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review		
		YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
. Final SRC	SRC Meeting	01/06/15	01/13/15
ecommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
ommittee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	.,		. , -,

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

 FY
 2015

 CYCLE
 1

 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150102

APPLICATION TITLE Genome Stability and Immune Diversity Controlled by the

APPLICANT NAME POLQ Pathway

McBride, Kevin

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
L. Pre-Receipt	Date application submitted	06/19/14	12/24/14
і. Рге-кесеірт	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/31/14	12/24/14
	Primary Reviewer 2 critique submitted	08/20/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 Col signed  Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
I. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/20/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A YES	12/24/14
	Discussed at On-Site Meeting		12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

 FY
 2015

 CYCLE
 1

 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150129

APPLICATION TITLE Drug Discovery and Mechanistic Studies of Protein

Methylation Targeting Leukemia

APPLICANT NAME Song, Yongcheng
ORGANIZATION Baylor College of Medicine
PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/19/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO NO	12/24/14
2 Dansint Defermal	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral, and Assignment	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A N/A	12/24/14
	Primary Reviewer 2 COI signed Primary Reviewer 3 COI signed	N/A	12/24/14
		<u>'</u>	
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted	N/A N/A	12/24/14
	Primary Reviewer 3 critique submitted		
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A N/A	12/24/14
S. Preliminary		N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer  Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/08/14	12/24/14
	Primary Reviewer 3 critique submitted	10/14/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
o. i ie neview	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150148

APPLICATION TITLE Identifying Drivers of Lung Metastasis in Triple Negative

Breast Cancer

APPLICANT NAME Piwnica-Worms, Helen

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date	
	RFA published in Texas Register	05/16/14	12/24/14	
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14	
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14	
1. Pre-Receipt	Date application submitted	06/19/14	12/24/14	
	Method of submission	CARS	12/24/14	
	Within receipt period	YES	12/24/14	
	Appeal to submit application after CARS closed	N/A	12/24/14	
	Appeal for late application submission accepted	N/A	12/24/14	
	Administrative review notification	N/A	12/24/14	
	Donation(s) made to CPRIT/foundation	NO	12/24/14	
	Assigned to primary reviewers	08/12/14	12/24/14	
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14	
and Assignment	Primary Reviewer 1 COI signed	07/23/14	12/24/14	
	Primary Reviewer 2 COI signed	08/25/14	12/24/14	
	Primary Reviewer 3 COI signed	07/28/14	12/24/14	
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14	
	Primary Reviewer 1 critique submitted	08/28/14	12/24/14	
	Primary Reviewer 2 critique submitted	08/26/14	12/24/14	
	Primary Reviewer 3 critique submitted	08/15/14	12/24/14	
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14	
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14	
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14	
	Recommended for full review	YES	12/24/14	
	Applicant notified of outcome	10/17/14	12/24/14	
	Assigned to primary reviewers	09/10/14	12/24/14	
	Primary Reviewer 1 COI signed	07/23/14	12/24/14	
	Primary Reviewer 2 COI signed	08/25/14	12/24/14	
	Primary Reviewer 3 COI signed	07/28/14	12/24/14	
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14	
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14	
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14	
4. Peer Review	Primary Reviewer 3 critique submitted	10/14/14	12/24/14	
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/23/14 NONE	12/24/14	
	COI record for a participation		12/24/14	
	COI recused from participation	N/A YES	12/24/14	
	Discussed at On-Site Meeting	11/03/14	12/24/14	
	Peer Review Meeting Post review statements signed	11/21/14		
	Third Party Observer Report	11/03/14	12/24/14	
	Score report delivered to CSO	11/25/14	12/24/14	
	Recommended for SRC Review	YES	12/24/14	
	COI indicated by SRC member	NONE	01/13/15	
	COI recused from participation	N/A	01/13/15	
5. Final SRC	SRC Meeting	01/06/15	01/13/15	
Recommendation	Third Party Observer Report	01/07/15	01/13/15	
	Recommended for grant award	YES	01/13/15	
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15	
	COI indicated by PIC member	NONE	02/03/15	
	COI recused from participation	M. Kripke*	02/03/15	
6. PIC Review	PIC review meeting	02/03/15	02/03/15	
	Recommended for grant award	YES	02/03/15	
	CEO Notification to Oversight Committee	02/06/15	02/18/15	
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15	
	COI recused from participation	YES	02/18/15	
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15	
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15	
	Award approved by Oversight Committee	YES	02/18/15	
	Authority to advance funds requested	NO	02/18/15	
	Advance authority approved by Oversight Committee	NO	02/18/15	

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

2015 FY CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150164

Using Imaging and Computational Tools to Improve Risk APPLICATION TITLE

Stratification in Children with Bone Cancer

APPLICANT NAME Leavey, Patrick

The University of Texas Southwestern Medical Center ORGANIZATION

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/27/14	12/24/14
2	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/31/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/13/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/18/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES 10/27/14	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed  Third Party Observer Penert	10/27/14	12/24/14
	Third Party Observer Report Score report delivered to CSO	10/27/14 11/25/14	12/24/14
	Recommended for SRC Review	YES	
	COI indicated by SRC member	NONE	12/24/14 01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/03/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO NO	02/18/15
~	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
Committee Approval	resented to Gritin Oversight Committee	02/10/13	02/10/13
Committee Approvai	Award approved by Oversight Committee	VEC	02/18/15
Committee Approval	Award approved by Oversight Committee Authority to advance funds requested	YES NO	02/18/15 02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150166

**APPLICATION TITLE** Prostate Cancer Chemoprevention with Resveratrol

APPLICANT NAME Kumar, Addanki

**ORGANIZATION** The University of Texas Health Science Center at San Antonio

PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
1. Pre-Receipt	Date application submitted	06/24/14	12/23/14
	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/15/14	12/23/14
	Primary Reviewer 3 COI signed	08/11/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	08/20/14	12/23/14
	Primary Reviewer 2 critique submitted	08/20/14	12/23/14
	Primary Reviewer 3 critique submitted	08/11/14	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	Kristal, Alan	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/15/14	12/23/14
	Primary Reviewer 3 COI signed	08/11/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/29/14	12/23/14
	Primary Reviewer 1 critique submitted	10/26/14	12/23/14
	Primary Reviewer 2 critique submitted	10/02/14	12/23/14
4. Peer Review	Primary Reviewer 3 critique submitted	09/30/14	12/23/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/26/14	12/23/14
	COI indicated by non-primary reviewer	Kristal, Alan	12/23/14
	COI recused from participation	YES	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150179

APPLICATION TITLE Regulation of Dormancy of Metastatic Prostate Cancer Cells

by Bone Microenvironment

APPLICANT NAME Lin, Sue-Hwa

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
4 B.: B.: 1.1	Date application submitted	06/23/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	08/29/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/24/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/01/14	12/24/14
	Primary Reviewer 2 COI signed	08/29/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary (Advocate) Reviewer 4 Col signed  Primary Reviewer 1 critique submitted	10/20/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	
Meeting		NONE	12/24/14
	COI recursed from participation	_	12/24/14
	COI recused from participation Discussed at On-Site Meeting	N/A YES	12/24/14 12/24/14
	Peer Review Meeting	11/03/14	
			12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Prevention and

Early Detection (IIRAP)

APPLICATION ID RP150195

APPLICATION TITLE Mechanisms of DHA and EPA Differential Effects on Colon

Cancer Chemoprevention

APPLICANT NAME Shureiqi, Imad

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
1. Pre-Receipt	Date application submitted	06/23/14	12/23/14
1. Fre-Neceipt	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
	Primary Reviewer 3 COI signed	N/A	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/23/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	07/25/14	12/23/14
	Primary Reviewer 3 COI signed	08/15/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/26/14	12/23/14
	Primary Reviewer 2 critique submitted	10/13/14	12/23/14
	Primary Reviewer 3 critique submitted	10/02/14	12/23/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/23/14	12/23/14
Meeting	COI indicated by non-primary reviewer	NONE	12/23/14
	COI recused from participation	N/A	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee		
	COI indicated by Oversight Committee	02/06/15 A. Mitchell	02/18/15
			02/18/15
7 Oversight	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO 03/18/15	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
Dr. Margarot Krinko ak	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 CYCLE
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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150197

APPLICATION TITLE Understanding How NCOA6 Suppresses Endometrial Cancer by Inhibiting the Wnt/beta-Catenin Pathway

APPLICANT NAME Xu, Jianming

ORGANIZATIONBaylor College of MedicinePANEL NAMEBasic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/25/14	12/24/14
1. Fre-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/23/14	12/24/14
	Primary Reviewer 2 critique submitted	08/27/14	12/24/14
	Primary Reviewer 3 critique submitted	08/24/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/11/14	12/24/14
	Primary Reviewer 2 COI signed	07/31/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/12/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
priordi	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/10/13

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150224

APPLICATION TITLE Discovering the Molecular Mechanisms that Determine

APPLICATION TITLE

Replicative Lifespan

Tyler, Jessica

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
L. Pre-Receipt	Date application submitted	06/20/14	12/24/14
Fre-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	09/03/14	12/24/14
	Primary Reviewer 2 critique submitted	09/02/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	Edelmann, Winifried	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	10/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/28/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/27/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/21/14	12/24/14
Meeting	COI indicated by non-primary reviewer	Edelmann, Winifried	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	3		02/18/15
	COI indicated by Oversight Committee member	IA. MILCHEII	
	COI indicated by Oversight Committee member  COI recused from participation	A. Mitchell YES	
7. Oversight	COI recused from participation	YES	02/18/15
	COI recused from participation Donation(s) made to CPRIT/foundation	YES NO	02/18/15 02/18/15
_	COI recused from participation Donation(s) made to CPRIT/foundation Presented to CPRIT Oversight Committee	YES NO 02/18/15	02/18/15 02/18/15 02/18/15
7. Oversight Committee Approval	COI recused from participation Donation(s) made to CPRIT/foundation	YES NO	02/18/15 02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

FY 2015 CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Prevention

and Early Detection (IIRAP) APPLICATION ID

Varenicline and Combined NRT for Initial Smoking APPLICATION TITLE

Cessation and Rescue Treatment in Smokers: A Randomized Pilot Trial

APPLICANT NAME Cinciripini, Paul

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
1 Dro Dossint	Date application submitted	06/26/14	12/23/14
1. Pre-Receipt	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	N/A	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/23/14
	Primary Reviewer 2 COI signed	N/A	12/23/14
	Primary Reviewer 3 COI signed	N/A	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	N/A	12/23/14
	Primary Reviewer 2 critique submitted	N/A	12/23/14
	Primary Reviewer 3 critique submitted	N/A	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/23/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/23/14
	Recommended for full review	N/A	12/23/14
	Applicant notified of outcome	N/A	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	07/30/14	12/23/14
	Primary Reviewer 2 COI signed	08/14/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/29/14	12/23/14
	Primary Reviewer 1 critique submitted	10/07/14	12/23/14
	Primary Reviewer 2 critique submitted	10/07/14	12/23/14
	Primary Reviewer 3 critique submitted	10/09/14	12/23/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/23/14
Meeting	COI indicated by non-primary reviewer	Brandon, Thomas	12/23/14
	COI recused from participation	YES	12/23/14
		YES	
	Discussed at On-Site Meeting	10/28/14	12/23/14
	Peer Review Meeting Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
r r:	COI recused from participation	N/A	01/13/15
5. Final SRC Recommendation	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150230

APPLICATION TITLE Counteracting Tumor Evasion of Antibody Immunity by a

Novel Therapeutic Strategy

APPLICANT NAME An, Zhiqiang

**ORGANIZATION** The University of Texas Health Science Center at Houston

PANEL NAME Translational Cancer Research (TCR )

published in Texas Register IT Application Receipt System (CARS) opened IT Application Receipt System (CARS) closed exapplication submitted shod of submission nin receipt period eal to submit application after CARS closed eal for late application submission accepted sinistrative review notification ation(s) made to CPRIT/foundation gned to primary reviewers licant notified of review panel assignment sary Reviewer 1 COI signed sary Reviewer 2 COI signed sary Reviewer 3 COI signed sary Reviewer 1 critique submitted sary Reviewer 2 critique submitted sary Reviewer 3 critique submitted sary Reviewer 3 critique submitted sary Reviewer 3 critique submitted sary Reviewer 4 critique submitted sary Reviewer 3 critique submitted sary (Advocate) Reviewer 4 critique submitted sary (Advocate) Reviewer 5 critique submitted sary (Advocate) Reviewer 6 critique submitted sary (Advocate) Reviewer 7 critique submitted sary (Advocate) Reviewer 8 critique submitted sary (Advocate) Reviewer 9 critique submitted	Information  05/16/14  05/15/14  06/26/14  06/24/14  CARS  YES  N/A  N/A  N/A  NO  08/18/14  07/31/14  09/04/14  07/25/14  N/A  08/26/14  09/05/14  09/18/14  N/A  NONE  09/22/14	Attestation Date  12/12/14
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gned to primary reviewers  licant notified of review panel assignment hary Reviewer 1 COI signed hary Reviewer 2 COI signed hary Reviewer 3 COI signed hary (Advocate) Reviewer 4 COI signed hary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hary (Advocate) Reviewer 4 critique submitted hary (Advocate) Reviewer 5 critique submitted hary (Advocate) Reviewer 6 critique submitted hard (Advocate) Reviewer 7 critique submitted hard (Advocate) Reviewer 8 critique submitted hard (Advocate) Reviewer 9 critique submitted hard (Advocate)	08/18/14 08/11/14 07/31/14 09/04/14 07/25/14 N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
licant notified of review panel assignment harry Reviewer 1 COI signed harry Reviewer 2 COI signed harry Reviewer 3 COI signed harry (Advocate) Reviewer 4 COI signed harry Reviewer 1 critique submitted harry Reviewer 2 critique submitted harry Reviewer 3 critique submitted harry Reviewer 3 critique submitted harry (Advocate) Reviewer 4 critique submitted harry (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	08/11/14 07/31/14 09/04/14 07/25/14 N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
hary Reviewer 1 COI signed hary Reviewer 2 COI signed hary Reviewer 3 COI signed hary (Advocate) Reviewer 4 COI signed hary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	07/31/14 09/04/14 07/25/14 N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
hary Reviewer 2 COI signed hary Reviewer 3 COI signed hary (Advocate) Reviewer 4 COI signed hary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	09/04/14 07/25/14 N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
nary Reviewer 3 COI signed hary (Advocate) Reviewer 4 COI signed hary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	07/25/14 N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
nary (Advocate) Reviewer 4 COI signed hary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	N/A 08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14 12/12/14
nary Reviewer 1 critique submitted hary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	08/26/14 09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14 12/12/14
nary Reviewer 2 critique submitted hary Reviewer 3 critique submitted hary (Advocate) Reviewer 4 critique submitted hindicated by non-primary reviewer himinary Evaluation score summary sent to Chair hommended for full review licant notified of outcome	09/05/14 09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14 12/12/14
nary Reviewer 3 critique submitted nary (Advocate) Reviewer 4 critique submitted indicated by non-primary reviewer iminary Evaluation score summary sent to Chair pommended for full review licant notified of outcome	09/18/14 N/A NONE 09/22/14	12/12/14 12/12/14
nary (Advocate) Reviewer 4 critique submitted indicated by non-primary reviewer iminary Evaluation score summary sent to Chair ommended for full review licant notified of outcome	N/A NONE 09/22/14	12/12/14
indicated by non-primary reviewer iminary Evaluation score summary sent to Chair ommended for full review licant notified of outcome	NONE 09/22/14	
iminary Evaluation score summary sent to Chair ommended for full review licant notified of outcome	09/22/14	
ommended for full review licant notified of outcome		12/12/14
licant notified of outcome	YES	12/12/14
	10/17/14	12/12/14
gned to primary reviewers	10/03/14	12/12/14
nary Reviewer 1 COI signed	11/07/14	12/12/14
nary Reviewer 2 COI signed	10/26/14	12/12/14
nary Reviewer 3 COI signed	10/26/14	12/12/14
nary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
nary Reviewer 1 critique submitted	10/23/14	12/12/14
nary Reviewer 2 critique submitted	10/27/14	12/12/14
nary Reviewer 3 critique submitted	10/26/14	12/12/14
nary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
indicated by non-primary reviewer	NONE	12/12/14
recused from participation	N/A	12/12/14
ussed at On-Site Meeting	YES	12/12/14
Review Meeting	11/11/14	12/12/14
review statements signed	11/18/14	12/12/14
d Party Observer Report	11/17/14	12/12/14
e report delivered to CSO	11/25/14	12/12/14
ommended for SRC Review	YES	12/12/14
indicated by SRC member		01/13/15
recused from participation		01/13/15
Meeting		01/13/15
		01/13/15
-		01/13/15
		01/13/15
		02/03/15
		02/03/15
		02/03/15
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		02/18/15
		02/18/15
		02/18/15
		02/18/15
		02/18/15
		02/18/15 02/18/15
ance authority approved by Oversight Committee	NO	02/18/15
	nary Reviewer 2 critique submitted nary Reviewer 3 critique submitted nary Reviewer 3 critique submitted nary (Advocate) Reviewer 4 critique submitted nary (Advocate) Reviewer 4 critique submitted nary (Advocate) Reviewer 4 nary (Advocate) Reviewer 4 necused from participation nussed at On-Site Meeting neview Meeting neview Meeting neview statements signed de Party Observer Report nerview statements occup normended for SRC Review nidicated by SRC member necused from participation neeting necused from participation necused from participation necused for grant award notification to PIC and OC nidicated by PIC member necused from participation neview meeting normended for grant award notification to Oversight Committee nidicated by Oversight Committee nidicated by Oversight Committee nation(s) made to CPRIT/foundation nented to CPRIT Oversight Committee nority to advance funds requested	tary Reviewer 2 critique submitted tary Reviewer 3 critique submitted tary Reviewer 3 critique submitted tary Reviewer 3 critique submitted tary (Advocate) Reviewer 4 critique submitted tary (Advocate) Reviewer 8 NONE recused from participation N/A ussed at On-Site Meeting TES Review Meeting TI/11/14 treview statements signed TI/18/14 d Party Observer Report TI/17/14 treview statements signed TI/18/14 TYES TI/17/14 TI/17/14 TI/17/14 TYES TI/17/14 TI/17/14 TI/17/14 TYES TI/17/14 T

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150231

APPLICATION TITLE Function of Fibroblasts and Collagen I in Pancreas Cancer

APPLICANT NAME Kalluri, Raghu

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1 Dec Decelet	Date application submitted	06/26/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	08/29/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/22/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/29/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/16/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	Kripke, Margaret*	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke**	02/03/15
b. PIC Review	BIG : ::	02/03/15	02/03/15
	PIC review meeting		
	Recommended for grant award	YES	02/03/15
	-	YES 02/06/15	02/03/15
	Recommended for grant award CEO Notification to Oversight Committee		02/18/15
	Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member	02/06/15 A. Mitchell	02/18/15 02/18/15
7. Oversight	Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation	02/06/15 A. Mitchell YES	02/18/15 02/18/15 02/18/15
_	Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation	02/06/15 A. Mitchell YES NO	02/18/15 02/18/15 02/18/15 02/18/15
_	Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation Presented to CPRIT Oversight Committee	02/06/15 A. Mitchell YES NO 02/18/15	02/18/15 02/18/15 02/18/15 02/18/15 02/18/15
7. Oversight Committee Approval	Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation	02/06/15 A. Mitchell YES NO	02/18/15 02/18/15 02/18/15 02/18/15

<sup>\*</sup> Dr. Kripke is not a peer reviewer, but attends peer review meetings as an observer in her capacity as Chief Scientific Officer, as allowed in her COI waiver. Because of the professional relationship between the applicant and Dr. Fidler, out of extreme caution Dr. Kripke chose not to be present when the application was discussed.

<sup>\*\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150232

APPLICATION TITLE

The Role of Progesterone Receptor in Early Stage Breast

Cancer.

APPLICANT NAME Edwards, Dean

ORGANIZATION Baylor College of Medicine
PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/24/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	10/09/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	09/03/14	12/24/14
	Primary Reviewer 2 critique submitted	09/02/14	12/24/14
	Primary Reviewer 3 critique submitted	08/15/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	10/09/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary (Advocate) Reviewer 4 COT signed  Primary Reviewer 1 critique submitted	10/19/14	12/24/14
		10/14/14	
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted		12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/24/14 Greene, Geoffrey	12/24/14
	COI indicated by non-primary reviewer		12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150235

APPLICATION TITLE Role of TBK1 in Regulating Dendritic Cell Function and

APPLICATION TITLE
Antitumor Immunity.

APPLICANT NAME
Sun, Shao-Cong

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/23/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	07/25/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	08/01/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	08/28/14	12/12/14
	Primary Reviewer 2 critique submitted	09/08/14	12/12/14
	Primary Reviewer 3 critique submitted	08/30/14	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/23/14	12/12/14
	Primary Reviewer 2 COI signed	10/28/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	10/23/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	Cooney, Kathleen	12/12/14
	COI recused from participation	YES YES	12/12/14
	Discussed at On-Site Meeting		12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed  Third Party Observer Papert	11/18/14	12/12/14
	Third Party Observer Report Score report delivered to CSO	11/17/14	12/12/14
	Recommended for SRC Review	11/25/14 YES	12/12/14
	COI indicated by SRC member	NONE	12/12/14 01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/00/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
- Pp W.	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
	dutiloney approved by oversight committee	1,10	32/10/13

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150242

Functional and Structural Characterization of a Small

APPLICATION TITLE Chemical Compound that Arrests Glioma Stem Cell Growth

with High Activity and Specificity

APPLICANT NAME Parada, Luis

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/26/14	12/24/14
I. Fre-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	07/25/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO  Recommended for SRC Review	11/25/14 YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
- I I I I I I I I I I I I I I I I I I I	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
			02/10/10

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150245

APPLICATION TITLE EGFR Arginine Methylations: Biomarkers for Cetuximab

Resistance in colon cancer
APPLICANT NAME Hung, Mien-Chie

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/19/14	12/24/14
I. Fre-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	08/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/26/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	08/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/29/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/16/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
wiceung	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
C DIC Davison	COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
	, , , ,		

Advance authority approved by Oversight Committee NO 02/18/15

\*Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications.

The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150277

APPLICATION TITLE Vertical Vargeting of the B Cell Receptor in Leukemia and

APPLICANT NAME Lymphoma
Applicant NAME Aguiar, Ricardo

**ORGANIZATION** The University of Texas Health Science Center at San Antonio

PANEL NAME Translational Cancer Research (TCR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
	Applicant notified of outcome	N/A	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/26/14	12/12/14
	Primary Reviewer 2 COI signed	11/02/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	10/26/14	12/12/14
	Primary Reviewer 2 critique submitted	11/03/14	12/12/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
wiceting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Overstalat		NO	02/18/15
7. Oversight	II)onation(s) made to ( PRII /foundation		
	Donation(s) made to CPRIT/foundation  Presented to CPRIT Oversight Committee		
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
7. Oversight Committee Approval			

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150282

APPLICATION TITLE Mechanisms of De Novo and Acquired Resistance to Therapeutic Treatment of Bone-Metastatic Prostate Cancer

APPLICANT NAME Gallick, Gary

**ORGANIZATION** The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

CPRIT Application Receipt System (CARS) opened   D5/15/14   12/2	24/14 24/14 24/14
CPRIT Application Receipt System (CARS) closed   06/26/14   12/2	-
Date application submitted   Date application   CARS   Date   Date	24/14
Method of submission	
Method of submission   CARS   12/2	24/14
Appeal to submit application after CARS closed Appeal for late application submission accepted Appeal for late application submission accepted Administrative review notification N/A 12/2 Administrative review notification N/A 12/2 Donation(s) made to CPRIT/foundation NO 12/2 Assigned to primary reviewers 08/12/14 12/2 Applicant notified of review panel assignment Primary Reviewer 1 COI signed 08/22/14 12/2 Primary Reviewer 2 COI signed 07/31/14 12/2 Primary Reviewer 3 COI signed 07/25/14 12/2 Primary (Advocate) Reviewer 4 COI signed N/A 12/2 Primary Reviewer 1 critique submitted 08/22/14 12/2 Primary Reviewer 2 critique submitted 08/26/14 12/2 Primary Reviewer 3 critique submitted 08/28/14 12/2 Primary Reviewer 3 critique submitted N/A 12/2	24/14
Appeal for late application submission accepted	24/14
Administrative review notification N/A 12/2  Donation(s) made to CPRIT/foundation NO 12/2  Assigned to primary reviewers 08/12/14 12/2  Assigned to primary reviewers 08/12/14 12/2  Applicant notified of review panel assignment 08/11/14 12/2  Primary Reviewer 1 COI signed 08/22/14 12/2  Primary Reviewer 2 COI signed 07/31/14 12/2  Primary Reviewer 3 COI signed 07/25/14 12/2  Primary (Advocate) Reviewer 4 COI signed N/A 12/2  Primary Reviewer 1 critique submitted 08/22/14 12/2  Primary Reviewer 2 critique submitted 08/26/14 12/2  Primary Reviewer 3 critique submitted 08/28/14 12/2  Primary Reviewer 3 critique submitted 08/28/14 12/2  Primary Reviewer 3 critique submitted N/A 12/2  Primary Reviewer 3 critique submitted N/A 12/2  COI indicated by non-primary reviewer NONE 12/2	24/14
Donation(s) made to CPRIT/foundation   NO   12/2	24/14
Assigned to primary reviewers 08/12/14 12/2  Applicant notified of review panel assignment 08/11/14 12/2  Applicant notified of review panel assignment 08/11/14 12/2  Applicant notified of review panel assignment 08/11/14 12/2  Primary Reviewer 1 COI signed 07/31/14 12/2  Primary Reviewer 3 COI signed 07/25/14 12/2  Primary (Advocate) Reviewer 4 COI signed N/A 12/2  Primary Reviewer 1 critique submitted 08/22/14 12/2  Primary Reviewer 2 critique submitted 08/26/14 12/2  Primary Reviewer 3 critique submitted 08/28/14 12/2  Primary Reviewer 3 critique submitted N/A 12/2  B. Preliminary Primary (Advocate) Reviewer 4 critique submitted N/A 12/2  Evaluation COI indicated by non-primary reviewer NONE 12/2	24/14
Applicant notified of review panel assignment   08/11/14   12/2	24/14
Primary Reviewer 1 COI signed   08/22/14   12/2	24/14
Primary Reviewer 2 COI signed   07/31/14   12/2	24/14
Primary Reviewer 3 COI signed   07/25/14   12/2	24/14
Primary (Advocate) Reviewer 4 COI signed   N/A   12/2	24/14
Primary Reviewer 1 critique submitted   08/22/14   12/2	24/14
Primary Reviewer 2 critique submitted 08/26/14 12/2 Primary Reviewer 3 critique submitted 08/28/14 12/2 8. Preliminary Primary (Advocate) Reviewer 4 critique submitted N/A 12/2 Evaluation COI indicated by non-primary reviewer NONE 12/2	24/14
Primary Reviewer 3 critique submitted 08/28/14 12/2  8. Preliminary Primary (Advocate) Reviewer 4 critique submitted N/A 12/2  ivaluation COI indicated by non-primary reviewer NONE 12/2	24/14
Primary Reviewer 3 critique submitted 08/28/14 12/2  8. Preliminary Primary (Advocate) Reviewer 4 critique submitted N/A 12/2  ivaluation COI indicated by non-primary reviewer NONE 12/2	24/14
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COI indicated by non-primary reviewer NONE 12/2	24/14
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Preliminary Evaluation score summary sent to Chair 09/03/14 12/2	24/14
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Primary Reviewer 3 critique submitted 10/15/14 12/2	24/14
3. Peer Review Primary (Advocate) Reviewer 4 critique submitted 10/24/14 12/2	24/14
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Time Factor Report	13/15
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o. PIC Review	3/15
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Advance authority approved by Oversight Committee NO 02/1	

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

2015 FY CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150292

Broad Shortening of 3' UTRs in Human Cancers: Methods, APPLICATION TITLE

Target Genes and Functional Consequences

APPLICANT NAME Li, Wei

ORGANIZATION Baylor College of Medicine PANEL NAME Basic Cancer Research-2 (BCR-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1 Dro Dossint	Date application submitted	06/24/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/11/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	09/01/14	12/24/14
	Primary Reviewer 2 critique submitted	08/31/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/30/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary (Advocate) Reviewer 4 Consigned  Primary Reviewer 1 critique submitted	10/18/14	12/24/14
			+ ' '
	Primary Reviewer 2 critique submitted	10/17/14 10/20/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted		12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/30/14-10/31/14	12/24/14
	Post review statements signed	10/31/14	12/24/14
	Third Party Observer Report	10/31/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
C IC NOTICEV	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150293

APPLICATION TITLE Identification of Clinically Relevant Targets for

Radiosensitization

APPLICANT NAME

Skinner, Heath

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/23/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/24/14	12/24/14
	Primary Reviewer 3 critique submitted	08/25/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 2 col signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	09/29/14	12/24/14
	Primary Reviewer 2 critique submitted	10/16/14	12/24/14
	Primary Reviewer 3 critique submitted	10/16/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
		10/27/14	12/24/14
	Post review statements signed Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO		
	Recommended for SRC Review	11/25/14	12/24/14
		YES NONE	12/24/14 01/13/15
	COI record for a participation	N/A	01/13/15
F. F LODG	COI recused from participation	01/06/15	
5. Final SRC Recommendation	SRC Meeting		01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

2015 CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in

Children and Adolescents (IIRACCA)

APPLICATION ID RP150301

Epigenetics in Medulloblastoma Development and APPLICATION TITLE

Therapeutics

APPLICANT NAME Gopalakrishnan, Vidya

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1 Due Deseint	Date application submitted	06/24/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/28/14	12/24/14
	Primary Reviewer 2 COI signed	08/22/14	12/24/14
	Primary Reviewer 3 COI signed	07/28/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/27/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	
			12/24/14
	Third Party Observer Report Score report delivered to CSO	11/03/14 11/25/14	12/24/14 12/24/14
	Recommended for SRC Review	YES	
		NONE	12/24/14
	COI record for a participation		01/13/15
r rivisno	COI recused from participation	N/A 01/06/15	01/13/15
5. Final SRC Recommendation	SRC Meeting	- , , -	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	The second secon		00/40/45
	Authority to advance funds requested	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

The identity of the attesting party is retained by CPRIT.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150316

APPLICATION TITLE T-Cell Activating Immunotherapy for Indolent B-cell

APPLICANT NAME Malignancies

APPLICANT NAME Neelapu, Sattva

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
Due Dessiut	Date application submitted	06/23/14	12/12/14
Pre-Receipt	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	08/08/14	12/12/14
	Primary Reviewer 2 COI signed	08/26/14	12/12/14
	Primary Reviewer 3 COI signed	08/03/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	09/17/14	12/12/14
	Primary Reviewer 2 critique submitted	09/06/14	12/12/14
	Primary Reviewer 3 critique submitted	09/22/14	12/12/14
8. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
valuation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	11/09/14	12/12/14
	Primary Reviewer 2 COI signed	10/25/14	12/12/14
	Primary Reviewer 3 COI signed	11/02/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
	Primary Reviewer 1 critique submitted	11/09/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
	Primary Reviewer 3 critique submitted	11/03/14	12/12/14
I. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
Meeting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/00/15	01/13/15
recommendation		YES	01/13/15
	Recommended for grant award SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	
	COI recused from participation	M. Kripke*	02/03/15 02/03/15
5. PIC Review	·		
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES 02/06/15	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150319

APPLICATION TITLE Leukemia Inhibitory Factor Receptor Signaling and Function in Cancer

APPLICANT NAME Ma, Li

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
. Bu Buutu	Date application submitted	06/19/14	12/24/14
L. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/26/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/22/14	12/24/14
	Primary Reviewer 3 critique submitted	08/23/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome		
	Assigned to primary reviewers	10/17/14 09/08/14	12/24/14
		07/26/14	12/24/14
	Primary Reviewer 1 COI signed		- ' '
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	08/05/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/01/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderso The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

FY 2015 CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150334

Personalized Functionalization of Pediatric High Grade APPLICATION TITLE

Glioma

APPLICANT NAME Deneen, Benjamin ORGANIZATION Baylor College of Medicine PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
Pre-Receipt	Date application submitted	06/20/14	12/24/14
arre necespe	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	07/27/14	12/24/14
	Primary Reviewer 3 COI signed	07/26/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
1. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
Meeting	COI indicated by non-primary reviewer	Baker, Suzanne	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
		11/19/14	
	Third Party Observer Report Score report delivered to CSO	11/25/14	12/24/14
	<u> </u>		
	Recommended for SRC Review	YES NONE	12/24/14 01/13/15
	COI indicated by SRC member		
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
5. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

2015 FY CYCLE Research PROGRAM

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150343

APPLICATION TITLE An Ultra-Sensitive Nanomagnetic Sensor for the Early Detection of Anaplastic Large Cell Lymphoma

APPLICANT NAME Willson, Richard ORGANIZATION University of Houston

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/26/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
LValaation	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
			12/24/14
	Recommended for full review	N/A	
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	10/12/14	12/24/14
	Primary Reviewer 2 COI signed	09/08/14	12/24/14
	Primary Reviewer 3 COI signed	07/27/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/18/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6 DIC Povious	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150346

APPLICATION TITLE Targeting Twist1 for Prevention and Treatment of Non-

APPLICANT NAME Melanoma Skin Cancer
DiGiovanni, John

ORGANIZATIONThe University of Texas at AustinPANEL NAMECancer Prevention Research (CPR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/23/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/23/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/23/14
1. Pre-Receipt	Date application submitted	06/25/14	12/23/14
1. Pre-Receipt	Method of submission	CARS	12/23/14
	Within receipt period	YES	12/23/14
	Appeal to submit application after CARS closed	N/A	12/23/14
	Appeal for late application submission accepted	N/A	12/23/14
	Administrative review notification	N/A	12/23/14
	Donation(s) made to CPRIT/foundation	NO	12/23/14
	Assigned to primary reviewers	08/05/14	12/23/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/23/14
and Assignment	Primary Reviewer 1 COI signed	08/15/14	12/23/14
	Primary Reviewer 2 COI signed	07/23/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/23/14
	Primary Reviewer 1 critique submitted	08/20/14	12/23/14
	Primary Reviewer 2 critique submitted	08/13/14	12/23/14
	Primary Reviewer 3 critique submitted	08/20/14	12/23/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/23/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/23/14
	Preliminary Evaluation score summary sent to Chair	08/28/14	12/23/14
	Recommended for full review	YES	12/23/14
	Applicant notified of outcome	10/17/14	12/23/14
	Assigned to primary reviewers	08/29/14	12/23/14
	Primary Reviewer 1 COI signed	08/15/14	12/23/14
	Primary Reviewer 2 COI signed	07/23/14	12/23/14
	Primary Reviewer 3 COI signed	07/30/14	12/23/14
	Primary (Advocate) Reviewer 4 COI signed	08/31/14	12/23/14
	Primary Reviewer 1 critique submitted	10/05/14	12/23/14
	Primary Reviewer 2 critique submitted	10/01/14	12/23/14
	Primary Reviewer 3 critique submitted	10/10/14	12/23/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/23/14
Meeting	COI indicated by non-primary reviewer	NONE	12/23/14
	COI recused from participation	N/A	12/23/14
	Discussed at On-Site Meeting	YES	12/23/14
	Peer Review Meeting	10/28/14	12/23/14
	Post review statements signed	10/28/14	12/23/14
	Third Party Observer Report	11/17/14	12/23/14
	Score report delivered to CSO	11/25/14	12/23/14
	Recommended for SRC Review	YES	12/23/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell; A. Angelou*	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	VES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
	present at the Oversight Committee meeting on 2/18/15		

<sup>\*</sup> Mr. Angelou was not present at the Oversight Committee meeting on 2/18/15 and therefore did not vote on RP150346. The identity of the attesting party is retained by CPRIT.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150356

APPLICANT NAME

APPLICATION TITLE Peripheral Nerve Tolerance to Single-Session Stereotactic

Irradiation Medin, Paul

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/27/14	12/24/14
1. Fre-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/21/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	Wu, Anna	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/03/14	12/24/14
	Primary Reviewer 2 critique submitted	10/09/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
Meeting	COI indicated by non-primary reviewer	Wu, Anna	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	
	·		02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150386

A Phase I Trial of Stereotactic HYpofractionateD

APPLICATION TITLE RadioAblative (HYDRA) Treatment of Advanced Laryngeal

Cancer

APPLICANT NAME Schwartz, David

 ORGANIZATION
 The University of Texas Southwestern Medical Center

 PANEL NAME
 Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/27/14	12/12/14
1. Pre-Receipt	Method of submission	CARS	12/12/14
	Within receipt period	NO	12/12/14
	Appeal to submit application after CARS closed	06/26/14	12/12/14
	Appeal for late application submission accepted	YES	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	09/04/14	12/12/14
	Primary Reviewer 2 COI signed	08/19/14	12/12/14
	Primary Reviewer 3 COI signed	08/02/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	09/05/14	12/12/14
	Primary Reviewer 2 critique submitted	08/30/14	12/12/14
	Primary Reviewer 3 critique submitted	08/27/14	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/08/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	09/24/14	12/12/14
	Primary Reviewer 1 COI signed	11/08/14	12/12/14
	Primary Reviewer 2 COI signed	11/07/14	12/12/14
	Primary Reviewer 3 COI signed	11/07/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/20/14	12/12/14
	Primary Reviewer 2 critique submitted	10/12/14	12/12/14
4. Daar Barriann	Primary Reviewer 3 critique submitted	10/21/14	12/12/14
4. Peer Review Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/07/14	12/12/14
iviceting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
Committee Approval	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150403

APPLICATION TITLE
On the Role of DEAR1 in the Regulation of Cell Polarity and

Progression from DCIS to Invasive Breast Cancer

APPLICANT NAME Killary, Ann

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
4 8 8	Date application submitted	06/25/14	12/24/14
1. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/28/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	08/29/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/23/14	12/24/14
	Primary Reviewer 2 COI signed	07/25/14	12/24/14
	Primary Reviewer 3 COI signed	07/23/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/11/14	12/24/14
	Primary (Advocate) Neviewer 4 consigned  Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/15/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed Third Posts Observer Penert	11/21/14	12/24/14
	Third Party Observer Report Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	11/25/14 YES	12/24/14
			12/24/14
	COI recursed from participation	NONE N/A	01/13/15
F. F I CD C	COI recused from participation		01/13/15
5. Final SRC Recommendation	SRC Meeting	01/06/15 01/07/15	01/13/15
Recommendation	Third Party Observer Report	VES	01/13/15
	Recommended for grant award		01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	M. Kripke*	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150405

APPLICATION TITLE Tumor Cell Epithelial-Mesenchymal Transition in Regulating Immunosuppression and Metastasis in Lung Cancer

APPLICANT NAME Gibbons, Don

ORGANIZATION The University of Texas M. D. Anderson Cancer Center

PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/26/14	12/12/14
1. Pre-Receipt	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	07/25/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	09/04/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	09/06/14	12/12/14
	Primary Reviewer 2 critique submitted	09/08/14	12/12/14
	Primary Reviewer 3 critique submitted	09/06/14	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/28/14	12/12/14
	Primary Reviewer 2 COI signed	10/28/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/28/14	12/12/14
	Primary Reviewer 1 critique submitted	10/28/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/26/14	12/12/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/08/14	12/12/14
wiceting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
		NONE	02/03/15
c nich. t.	COI indicated by PIC member		
6 PIC Review	COI indicated by PIC member COI recused from participation	M. Kripke*	02/03/15
6. PIC Review	COI recused from participation PIC review meeting	M. Kripke* 02/03/15	02/03/15 02/03/15
6. PIC Review	COI recused from participation PIC review meeting Recommended for grant award	M. Kripke* 02/03/15 YES	02/03/15 02/03/15
6. PIC Review	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee	M. Kripke* 02/03/15	02/03/15
6. PIC Review	COI recused from participation PIC review meeting Recommended for grant award	M. Kripke* 02/03/15 YES	02/03/15 02/03/15
6. PIC Review	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee	M. Kripke* 02/03/15 YES 02/06/15	02/03/15 02/03/15 02/18/15
6. PIC Review  7. Oversight	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member	M. Kripke* 02/03/15 YES 02/06/15 A. Mitchell	02/03/15 02/03/15 02/18/15 02/18/15
	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation	M. Kripke* 02/03/15 YES 02/06/15 A. Mitchell YES	02/03/15 02/03/15 02/18/15 02/18/15 02/18/15
7. Oversight	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation	M. Kripke* 02/03/15 YES 02/06/15 A. Mitchell YES NO	02/03/15 02/03/15 02/18/15 02/18/15 02/18/15 02/18/15
7. Oversight	COI recused from participation PIC review meeting Recommended for grant award CEO Notification to Oversight Committee COI indicated by Oversight Committee member COI recused from participation Donation(s) made to CPRIT/foundation Presented to CPRIT Oversight Committee	M. Kripke* 02/03/15 YES 02/06/15 A. Mitchell YES NO 02/18/15	02/03/15 02/03/15 02/18/15 02/18/15 02/18/15 02/18/15 02/18/15

<sup>\*</sup>Dr. Margaret Kripke abstained from voting. Dr. Kripke has an approved COI waiver on file for FY2015 regarding M.D. Anderson applications. The COI is waived; however, the terms of Dr. Kripke's FY2015 waiver require her to abstain from voting on M.D. Anderson applications.

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150408

APPLICATION TITLE Cellular Mechanisms of Chemotherapy-Induced Peripheral

Neuropathy

APPLICANT NAME Stockand, James

**ORGANIZATION** The University of Texas Health Science Center at San Antonio

PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/26/14	12/24/14
1. The necespe	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/05/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	08/14/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	08/06/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/23/14	12/24/14
	Primary Reviewer 2 critique submitted	08/05/14	12/24/14
	Primary Reviewer 3 critique submitted	08/06/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/02/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/14/14	12/24/14
	Primary Reviewer 2 COI signed	08/11/14	12/24/14
	Primary Reviewer 3 COI signed	08/06/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/12/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted Primary (Advocate) Reviewer 4 critique submitted	11/02/14	12/24/14
Meeting		NONE	12/24/14
	COI indicated by non-primary reviewer  COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
c pic p	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

2015 FY CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150416

Translational Investigations On Fenretinide and Safingol For APPLICATION TITLE

Pediatric Cancer Use APPLICANT NAME Maurer, Barry

ORGANIZATION Texas Tech University Health Sciences Center PANEL NAME Clinical and Translational Cancer Research (CTCR)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/24/14	12/12/14
1. Fie-Neceipt	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed	N/A	12/12/14
	Appeal for late application submission accepted	N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	N/A	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/12/14
	Primary Reviewer 2 COI signed	N/A	12/12/14
	Primary Reviewer 3 COI signed	N/A	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	N/A	12/12/14
	Primary Reviewer 2 critique submitted	N/A	12/12/14
	Primary Reviewer 3 critique submitted	N/A	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/12/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/12/14
	Recommended for full review	N/A	12/12/14
	Applicant notified of outcome	N/A	12/12/14
		09/24/14	12/12/14
	Assigned to primary reviewers	11/07/14	
	Primary Reviewer 1 COI signed	11/08/14	12/12/14
	Primary Reviewer 2 COI signed		12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/30/14	12/12/14
	Primary Reviewer 1 critique submitted	10/12/14	12/12/14
	Primary Reviewer 2 critique submitted	10/21/14	12/12/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/26/14	12/12/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/07/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
c nich.	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO NO	02/18/15
	rationty to advance funds requested	NO	02/18/15

2015 FY CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IIRAP)

APPLICATION ID RP150421

High-throughput Screening and Detection of Circulating APPLICATION TITLE

Tumor Cells Ugaz, Victor

APPLICANT NAME ORGANIZATION Texas Engineering Experiment Station PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/26/14	12/24/14
2.11c Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	09/08/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/13/14	12/24/14
	Primary Reviewer 2 critique submitted	10/14/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/25/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/00/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member COI recused from participation	NONE N/A	02/03/15 02/03/15
6. PIC Review			
	PIC review meeting	02/03/15 YES	02/03/15
	Recommended for grant award		02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	· ·		02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
	Authority to advance funds requested  Advance authority approved by Oversight Committee	NO NO	

2015 CYCLE PROGRAM Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

RP150440

APPLICATION ID

Effects of Hormonal Therapy on SubclonalEevolution of APPLICATION TITLE

Breast Tumors with ESR1 Mutations

APPLICANT NAME Fuqua, Suzanne

Baylor College of Medicine Cancer Biology (CB) ORGANIZATION PANEL NAME

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
1. Pre-Receipt	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/23/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	10/09/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/29/14	12/24/14
	Primary Reviewer 2 critique submitted	08/31/14	12/24/14
	Primary Reviewer 3 critique submitted	09/01/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
	COI indicated by non-primary reviewer	Fearon, Eric	12/24/14
3. Preliminary	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
Evaluation	COI indicated by non-primary reviewer	Knudsen, Karen	12/24/14
	COI indicated by non-primary reviewer	Lawlor, Elizabeth	12/24/14
	COI indicated by non-primary reviewer	Roberts, Charles	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/31/14	12/24/14
	Primary Reviewer 2 COI signed	10/09/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/16/14	12/24/14
	Primary Reviewer 2 critique submitted	10/19/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
	Primary (Advocate) Reviewer 4 critique submitted	10/29/14	12/24/14
	COI indicated by non-primary reviewer	Fearon, Eric	12/24/14
4. Peer Review	COI recused from participation	YES	12/24/14
Meeting	COI indicated by non-primary reviewer	Greene, Geoffrey	12/24/14
_	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Lawlor, Elizabeth	12/24/14
	COI recused from participation	YES	12/24/14
	COI indicated by non-primary reviewer	Roberts, Charles	12/24/14
	COI recused from participation	YES	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Cancer in Children and Adolescents (IIRACCA)

APPLICATION ID RP150445

APPLICATION TITLE Ewing's Sarcoma, a Homologous Recombination Defective

Disease

APPLICANT NAME Bishop, Alexander

**ORGANIZATION** The University of Texas Health Science Center at San Antonio

PANEL NAME Basic Cancer Research-1 (BCR-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/26/14	12/24/14
2	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted	N/A	12/24/14
2. Buslinsinson.	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
3. Preliminary Evaluation	, , , , , , , , , , , , , , , , , , , ,	N/A N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer  Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review		12/24/14
	Applicant notified of outcome	N/A N/A	1. 1.
	Assigned to primary reviewers	09/08/14	12/24/14
	Primary Reviewer 1 COI signed	08/06/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	08/14/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/05/14	12/24/14
	Primary Reviewer 1 critique submitted	10/17/14	12/24/14
	Primary Reviewer 2 critique submitted	10/11/14	12/24/14
	Primary Reviewer 3 critique submitted	10/15/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/22/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	11/06/14	12/24/14
	Post review statements signed	11/19/14	12/24/14
	Third Party Observer Report	11/17/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
C DIC Davious	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
		NO	

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards for Prevention and Early Detection (IIRAP)

APPLICATION ID RP150449

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APPLICATION TITLE

Noninvasive Multiscale Imaging for Optical Biopsy in

APPLICATION TITLE Epithelial Cancers

APPLICANT NAME Vargas, Gracie

**ORGANIZATION** The University of Texas Medical Branch at Galveston

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
1. Pre-Receipt	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
	Date application submitted	06/26/14	12/24/14
re necept	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/24/14	12/24/14
	Primary Reviewer 2 COI signed	07/23/14	12/24/14
	Primary Reviewer 3 COI signed	07/24/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/10/14	12/24/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	10/24/14 NONE	12/24/14
	COI indicated by non-primary reviewer  COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150451

APPLICATION TITLE SRC-2 driven "Metabolic Switch" in Metastatic Prostate Cancer- Prognostic and Therapeutic Implications

APPLICANT NAME Sreekumar, Arun ORGANIZATION Baylor College of Medicine
PANEL NAME Cancer Biology (CB)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
Don Decelor	Date application submitted	06/25/14	12/24/14
L. Pre-Receipt	Method of submission	CARS	12/24/14
	Within receipt period	YES	12/24/14
	Appeal to submit application after CARS closed	N/A	12/24/14
	Appeal for late application submission accepted	N/A	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/12/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/28/14	12/24/14
	Primary Reviewer 2 critique submitted	08/25/14	12/24/14
	Primary Reviewer 3 critique submitted	08/31/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
valuation	COI indicated by non-primary reviewer	Costello, Joseph	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/03/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/10/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/28/14	12/24/14
	Primary Reviewer 3 COI signed	07/30/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/15/14	12/24/14
	Primary Reviewer 1 critique submitted	10/15/14	12/24/14
	Primary Reviewer 2 critique submitted	10/13/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
1. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/30/14	12/24/14
Meeting	COI indicated by non-primary reviewer	Costello, Joseph	12/24/14
		YES	12/24/14
	COI recused from participation	YES	- t '. '.
	Discussed at On-Site Meeting		12/24/14
	Peer Review Meeting	11/03/14	12/24/14
	Post review statements signed	11/21/14	12/24/14
	Third Party Observer Report	11/03/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150454

**APPLICATION TITLE** Tumor Suppression Through the cGAMP/STING Pathway

APPLICANT NAME Li, Pingwei

ORGANIZATION Texas A&M University

PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/24/14	12/12/14
	Method of submission	CARS	12/12/14
	Within receipt period	YES	12/12/14
	Appeal to submit application after CARS closed Appeal for late application submission accepted	N/A N/A	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	08/05/14	12/12/14
	Primary Reviewer 2 COI signed	07/25/14	12/12/14
	Primary Reviewer 3 COI signed	09/08/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted	09/12/14	12/12/14
	Primary Reviewer 2 critique submitted	09/18/14	12/12/14
	Primary Reviewer 3 critique submitted	09/09/14	12/12/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/12/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/12/14
	Preliminary Evaluation score summary sent to Chair	09/22/14	12/12/14
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	10/23/14	12/12/14
	Primary Reviewer 2 COI signed	10/26/14	12/12/14
	Primary Reviewer 3 COI signed	10/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary Reviewer 1 critique submitted	11/02/14	12/12/14
	Primary Reviewer 2 critique submitted	10/28/14	12/12/14
4. Peer Review	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
Meeting	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
F. Fire LCDC	COI recused from participation	N/A	01/13/15
5. Final SRC Recommendation	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report Recommended for grant award	01/07/15 YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15 01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
6. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/03/13
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
- Philippin	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15
	navance dutilonly approved by oversight committee	110	02/10/13

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150456

APPLICATION TITLE

TAMU—UT Southwestern Partnership for Breast Imaging

and Spectroscopy at 7 Tesla

APPLICANT NAME Malloy, Craig

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
L. Pre-Receipt	Date application submitted	06/27/14	12/24/14
	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	N/A	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	N/A	12/24/14
	Primary Reviewer 2 COI signed	N/A	12/24/14
	Primary Reviewer 3 COI signed	N/A	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	N/A	12/24/14
	Primary Reviewer 2 critique submitted	N/A	12/24/14
	Primary Reviewer 3 critique submitted	N/A	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
valuation	COI indicated by non-primary reviewer	N/A	12/24/14
	Preliminary Evaluation score summary sent to Chair	N/A	12/24/14
	Recommended for full review	N/A	12/24/14
	Applicant notified of outcome	N/A	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	08/26/14	12/24/14
	Primary Reviewer 2 COI signed	10/12/14	12/24/14
	Primary Reviewer 3 COI signed	08/07/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/14/14	12/24/14
	Primary Reviewer 2 critique submitted	10/17/14	12/24/14
	Primary Reviewer 3 critique submitted	10/13/14	12/24/14
1. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
	Post review statements signed	10/27/14	12/24/14
	Third Party Observer Report	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
Final CDC	SRC Meeting	01/06/15	01/13/15
6. Final SRC Recommendation			
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC  COI indicated by PIC member	01/08/15	01/13/15
	,	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150485

APPLICATION TITLE Translating Online Adaptive Radiotherapy from Lab to Clinical Practice

APPLICANT NAME Jiang, Steve

**ORGANIZATION** The University of Texas Southwestern Medical Center

PANEL NAME Imaging Technology and Informatics (ITI)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/24/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/24/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/24/14
1. Pre-Receipt	Date application submitted	06/27/14	12/24/14
1. Fie-Neceipt	Method of submission	CARS	12/24/14
	Within receipt period	NO	12/24/14
	Appeal to submit application after CARS closed	06/26/14	12/24/14
	Appeal for late application submission accepted	YES	12/24/14
	Administrative review notification	N/A	12/24/14
	Donation(s) made to CPRIT/foundation	NO	12/24/14
	Assigned to primary reviewers	08/07/14	12/24/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/24/14
and Assignment	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/24/14
	Primary Reviewer 1 critique submitted	08/21/14	12/24/14
	Primary Reviewer 2 critique submitted	08/29/14	12/24/14
	Primary Reviewer 3 critique submitted	09/02/14	12/24/14
3. Preliminary	Primary (Advocate) Reviewer 4 critique submitted	N/A	12/24/14
Evaluation	COI indicated by non-primary reviewer	NONE	12/24/14
	Preliminary Evaluation score summary sent to Chair	09/04/14	12/24/14
	Recommended for full review	YES	12/24/14
	Applicant notified of outcome	10/17/14	12/24/14
	Assigned to primary reviewers	09/12/14	12/24/14
	Primary Reviewer 1 COI signed	07/25/14	12/24/14
	Primary Reviewer 2 COI signed	07/24/14	12/24/14
	Primary Reviewer 3 COI signed	09/02/14	12/24/14
	Primary (Advocate) Reviewer 4 COI signed	09/10/14	12/24/14
	Primary Reviewer 1 critique submitted	10/09/14	12/24/14
	Primary Reviewer 2 critique submitted	10/05/14	12/24/14
	Primary Reviewer 3 critique submitted	10/17/14	12/24/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	10/24/14	12/24/14
Meeting	COI indicated by non-primary reviewer	NONE	12/24/14
	COI recused from participation	N/A	12/24/14
	Discussed at On-Site Meeting	YES	12/24/14
	Peer Review Meeting	10/27/14	12/24/14
		10/27/14	
	Post review statements signed Third Party Observer Report		12/24/14
	·	10/27/14	12/24/14
	Score report delivered to CSO	11/25/14	12/24/14
	Recommended for SRC Review	YES	12/24/14
	COI indicated by SRC member	NONE N/A	01/13/15
F. Final CDC	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
6. PIC Review	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
7. Oversight	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

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 PROGRAM
 Research

AWARD MECHANISM Individual Investigator Research Awards (IIRA)

APPLICATION ID RP150498

APPLICATION TITLE Harnessing the Cytosolic DNA Sensing Pathway for Cancer

APPLICATION TITLE Immunotherapy
APPLICANT NAME Chen, Zhijian

**ORGANIZATION** The University of Texas Southwestern Medical Center

PANEL NAME Translational Cancer Research (TCR )

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/16/14	12/12/14
	CPRIT Application Receipt System (CARS) opened	05/15/14	12/12/14
	CPRIT Application Receipt System (CARS) closed	06/26/14	12/12/14
1. Pre-Receipt	Date application submitted	06/27/14	12/12/14
arre necespe	Method of submission	CARS	12/12/14
	Within receipt period	NO	12/12/14
	Appeal to submit application after CARS closed	06/26/14	12/12/14
	Appeal for late application submission accepted	YES	12/12/14
	Administrative review notification	N/A	12/12/14
	Donation(s) made to CPRIT/foundation	NO	12/12/14
	Assigned to primary reviewers	08/18/14	12/12/14
2. Receipt, Referral,	Applicant notified of review panel assignment	08/11/14	12/12/14
and Assignment	Primary Reviewer 1 COI signed	08/04/14	12/12/14
	Primary Reviewer 2 COI signed	08/05/14	12/12/14
	Primary Reviewer 3 COI signed	07/25/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	N/A	12/12/14
	Primary Reviewer 1 critique submitted Primary Reviewer 2 critique submitted	09/22/14	12/12/14
	Primary Reviewer 2 critique submitted Primary Reviewer 3 critique submitted	09/12/14 09/18/14	12/12/14
2 Proliminary	Primary (Advocate) Reviewer 4 critique submitted		12/12/14
3. Preliminary Evaluation	COI indicated by non-primary reviewer	N/A NONE	12/12/14
.valuation	Preliminary Evaluation score summary sent to Chair	09/22/14	
	Recommended for full review	YES	12/12/14
	Applicant notified of outcome	10/17/14	12/12/14
	Assigned to primary reviewers	10/03/14	12/12/14
	Primary Reviewer 1 COI signed	11/04/14	12/12/14
	Primary Reviewer 2 COI signed	10/23/14	12/12/14
	Primary Reviewer 3 COI signed	10/26/14	12/12/14
	Primary (Advocate) Reviewer 4 COI signed	10/29/14	12/12/14
	Primary (Navocate) Neviewer 4 corsigned  Primary Reviewer 1 critique submitted	11/04/14	12/12/14
	Primary Reviewer 2 critique submitted	11/02/14	12/12/14
	Primary Reviewer 3 critique submitted	10/28/14	12/12/14
4. Peer Review	Primary (Advocate) Reviewer 4 critique submitted	11/09/14	12/12/14
Meeting	COI indicated by non-primary reviewer	NONE	12/12/14
	COI recused from participation	N/A	12/12/14
	Discussed at On-Site Meeting	YES	12/12/14
	Peer Review Meeting	11/11/14	12/12/14
	Post review statements signed	11/18/14	12/12/14
	Third Party Observer Report	11/17/14	12/12/14
	Score report delivered to CSO	11/25/14	12/12/14
	Recommended for SRC Review	YES	12/12/14
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
5. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
6. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
7. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
•	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
Committee Approval			
•	Award approved by Oversight Committee  Authority to advance funds requested	YES NO	02/18/15 02/18/15

**FY** 2015 **CYCLE** 2

PROGRAM Research - Recruitment

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR150032

APPLICATION TITLE Recruitment of First-Time, Tenure-Track Faculty Member -

Jenna Lee Jewell, Ph.D.

NOMINATOR NAME Fitz, John
CANDIDATE NAME Jewell, Jenna

ORGANIZATION The University of Texas Southwestern Medical Center

PANEL NAME Recruitment Review Panel 3 (RRP-3)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	09/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	11/20/14	01/13/15
1. Fre-Neceipt	Date application submitted	11/20/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
2. Receipt, Referral,	Assigned to primary reviewers	12/17/14	01/13/15
and Assignment	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
	Primary Reviewer 1 critique submitted	12/24/14	01/13/15
	Primary Reviewer 2 critique submitted	01/02/15	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
3. Peer Review	Discussed at Peer Review Meeting	YES	01/13/15
Meeting	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
	Recommended for SRC Review	YES	01/13/15
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
4. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
5. PIC Review	COI recused from participation	N/A	02/03/15
5. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
6. Oversight Committee Approval	Donation(s) made to CPRIT/foundation	NO	02/18/15
	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

**FY** 2015 **CYCLE** 2

**PROGRAM** Research - Recruitment

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR150033

APPLICATION TITLE Recruitment of First-Time, Tenure-Track Faculty Member -

Vincent S. Tagliabracci, Ph.D.

NOMINATOR NAME Fitz, John

**CANDIDATE NAME** Tagliabracci, Vincent

**ORGANIZATION** The University of Texas Southwestern Medical Center

PANEL NAME Recruitment Review Panel 3 (RRP-3)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	09/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	11/20/14	01/13/15
1. Fre-Neccipt	Date application submitted	11/20/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
2. Receipt, Referral,	Assigned to primary reviewers	12/17/14	01/13/15
and Assignment	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
	Primary Reviewer 1 critique submitted	12/24/14	01/13/15
	Primary Reviewer 2 critique submitted	12/31/14	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
3. Peer Review	Discussed at Peer Review Meeting	YES	01/13/15
Meeting	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
	Recommended for SRC Review	YES	01/13/15
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
4. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
E DIC Davidania	COI recused from participation	N/A	02/03/15
5. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
6. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

**FY** 2015 **CYCLE** 2

**PROGRAM** Research - Recruitment

AWARD MECHANISM Recruitment of First-Time Faculty Members (RFTFM)

APPLICATION ID RR150038

APPLICATION TITLE

Recruitment of First-Time Tenure-Track Faculty Member –

Dr. Jonathan Screening and Drugley Member –

Dr. Jonathan Sczepanski and Develop Novel Therapies

NOMINATOR NAME Newton, Howard

CANDIDATE NAME Sczepanski, Jonathan

ORGANIZATION Texas A&M University

PANEL NAME Recruitment Review Panel 4 (RRP-4)

Category	Compliance Requirement	Information	Attestation Date
1. Pre-Receipt	RFA published in Texas Register	09/19/14	01/13/15
	CPRIT Application Receipt Cycle opened	11/21/14	01/13/15
	CPRIT Application Receipt Cycle closed	12/20/14	01/13/15
1. Fre-Neceipt	Date application submitted	12/19/14	01/13/15
	Method of submission	CARS	01/13/15
	Within receipt period	YES	01/13/15
	Administrative review notification	N/A	01/13/15
	Donation(s) made to CPRIT/foundation	NO	01/13/15
2. Receipt, Referral,	Assigned to primary reviewers	12/30/14	01/13/15
and Assignment	Applicant notified of review panel assignment	N/A	01/13/15
	Primary Reviewer 1 COI signed	12/24/14	01/13/15
	Primary Reviewer 2 COI signed	12/24/14	01/13/15
	Primary Reviewer 1 critique submitted	01/02/15	01/13/15
	Primary Reviewer 2 critique submitted	01/05/15	01/13/15
	COI indicated by non-primary reviewer	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
3. Peer Review	Discussed at Peer Review Meeting	YES	01/13/15
Meeting	Peer Review Meeting	01/06/15	01/13/15
	Post review statements signed	01/14/15	01/19/15
	Third Party Observer Report	01/07/15	01/13/15
	Score report delivered to CSO	01/13/15	01/13/15
	Recommended for SRC Review	YES	01/13/15
	COI indicated by SRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
4. Final SRC	SRC Meeting	01/06/15	01/13/15
Recommendation	Third Party Observer Report	01/07/15	01/13/15
	Recommended for grant award	YES	01/13/15
	SRC Chair Notification to PIC and OC	01/08/15	01/13/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
5. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	A. Mitchell	02/18/15
	COI recused from participation	YES	02/18/15
6. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	NO	02/18/15
	Advance authority approved by Oversight Committee	NO	02/18/15

2015 CYCLE

1 Product Development PROGRAM

AWARD MECHANISM New Company Product Development Awards (NEWCO)

APPLICATION ID DP150021

APPLICATION TITLE Development of Rhenium Nanoliposomes for Cancer Therapy APPLICANT NAME Brenner, Andrew

 ORGANIZATION
 NanoTx Therapeutics

 PANEL NAME
 Product Development Panel-1 (PDP-1)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/29/14	11/07/14
1. Pre-Receipt	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
2. Receipt, Referral,	Applicant notified of review panel assignment	07/11/14	11/07/14
and Assignment	Primary Reviewer 1 COI signed	06/19/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/13/14	11/07/14
	Primary Reviewer 3 COI signed	06/18/14	11/07/14
	Primary Reviewer 4 COI signed	06/17/14	11/07/14
	Primary Reviewer 1 critique submitted	07/18/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/18/14	11/07/14
	Primary Reviewer 3 critique submitted	07/18/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
3. Peer Review:	COI indicated by non-primary reviewer	NONE	11/07/14
Screening	COI recused from participation	N/A	11/07/14
Teleconference	Peer Review: Screening Teleconference	07/15/14	11/07/14
	Post-Screening Teleconference score report	07/15/14	11/07/14
	Post review statements signed	08/12/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/12/14-08/13/14	11/07/14
4. Peer Review: On-	Post review statements signed	08/26/14	11/07/14
Site Meeting	Third Party Observer Report	08/13/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	01/26/15
5. Due Diligence and IP	Intellectual Property conflict check	11/17/14	01/30/15
Review	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
6. Final PDRC	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
Recommendation	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
7. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/03/13
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
8. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
- Approval	Award approved by Oversight Committee	02/18/15 YES	02/18/15
	Advance authority approved by Oversight Committee	02/10/15	02/18/15
	Advance authority approved by Oversight Committee	YES	02/18/15

**FY** 2015 **CYCLE** 1

**PROGRAM** Product Development

AWARD MECHANISM New Company Product Development Awards (NEWCO)

APPLICATION ID DP150029

APPLICATION TITLE Personalized Cellular Immunotherapy against Novel

APPLICATION TITLE
Cancer Targets
APPLICANT NAME
Kuttruff-Coqui, Sabrina
ORGANIZATION
Immatics Biotechnologies

PANEL NAME Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/28/14	11/07/14
1. Pre-Receipt	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
2. Receipt, Referral,	Applicant notified of review panel assignment	07/11/14	11/07/14
and Assignment	Primary Reviewer 1 COI signed	06/18/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/16/14	11/07/14
	Primary Reviewer 3 COI signed	06/16/14	11/07/14
	Primary Reviewer 4 COI signed	06/20/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/16/14	11/07/14
	Primary Reviewer 3 critique submitted	07/17/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
3. Peer Review:	COI indicated by non-primary reviewer	NONE	11/07/14
Screening	COI recused from participation	N/A	11/07/14
Teleconference	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
	Post review statements signed	08/14/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
4. Peer Review: On-	Post review statements signed	08/15/14	11/07/14
Site Meeting	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	01/26/15
5. Due Diligence and IP	Intellectual Property conflict check	11/17/14	01/30/15
Review	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	NONE	01/13/15
	COI recused from participation	N/A	01/13/15
6. Final PDRC	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
Recommendation	Third Party Observer Report	01/08/15	01/27/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
	COI recused from participation	N/A	02/03/15
7. PIC Review	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
8. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES YES	
			02/18/15
	Advance authority approved by Oversight Committee	02/10/15	02/18/15
	Advance authority approved by Oversight Committee	YES	02/18/15

**FY** 2015 **CYCLE** 1

PROGRAM Product Development

AWARD MECHANISM New Company Product Development Awards (NEWCO)

APPLICATION ID DP150031

A Multi-Targeted Approach For Recurrent Glioblastoma

APPLICATION TITLE and Other Aggressive Cancers: Exploiting The Potential Of IL-4 Fusion ProteinsTreatment of Cancer

APPLICANT NAME Merchant, Fahar

ORGANIZATION Medicenna Therapeutics, Inc.

PANEL NAME Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/28/14	11/07/14
1. Pre-Receipt	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
	Submission of application fee	YES	01/26/15
	Administrative review notification	N/A	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
2. Receipt, Referral,	Applicant notified of review panel assignment	07/11/14	11/07/14
and Assignment	Primary Reviewer 1 COI signed	06/18/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/16/14	11/07/14
	Primary Reviewer 3 COI signed	06/20/14	11/07/14
	Primary Reviewer 4 COI signed	06/23/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/16/14	11/07/14
	Primary Reviewer 3 critique submitted	07/16/14	11/07/14
	Primary Reviewer 4 critique submitted	07/16/14	11/07/14
3. Peer Review:	COI indicated by non-primary reviewer	Lloyd, Ramona	11/07/14
Screening	COI recused from participation	YES	11/07/14
Teleconference	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
	Post review statements signed	08/14/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	Lloyd, Ramona	11/07/14
	COI recused from participation	YES	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
4. Peer Review: On-	Post review statements signed	08/15/14	11/07/14
Site Meeting	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	01/26/15
5. Due Diligence and IP	Intellectual Property conflict check	11/17/14	01/30/15
Review	Final intellectual property review submitted	12/21/14	01/30/15
	COI indicated by PDRC member	Geltosky, Jack	01/13/15
	COI recused from participation	YES YES	01/13/15
6. Final PDRC	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
Recommendation	Third Party Observer Report	01/08/15	01/13/15
	Recommended for grant award	YES	01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/13/15
	COI indicated by PIC member	NONE	
	COI recused from participation	N/A	02/03/15 02/03/15
7. PIC Review	PIC review meeting	02/03/15	02/03/15
	<u> </u>	YES	
	Recommended for grant award		02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE N/A	02/18/15
0. 0	COI recused from participation	N/A	02/18/15
8. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	02/10/15	02/18/15
	Advance authority approved by Oversight Committee	YES	02/18/15

**FY** 2015 **CYCLE** 1

PROGRAM Product Development

AWARD MECHANISM New Company Product Development Awards (NEWCO)

APPLICATION ID DP150039

Armada Pharmaceuticals Inc. New Company Formation

**APPLICATION TITLE** For Development of Anti-Cancer Antibody-Drug Conjugate

Therapeutics

APPLICANT NAME Tikhomirov, Ilia

 ORGANIZATION
 Armada Pharmaceuticals, Inc

 PANEL NAME
 Product Development Panel-2 (PDP-2)

Category	Compliance Requirement	Information	Attestation Date
	RFA published in Texas Register	05/09/14	11/07/14
	CPRIT Application Receipt System (CARS) opened	04/28/14	11/07/14
	CPRIT Application Receipt System (CARS) closed	05/29/14	11/07/14
	Date application submitted	05/29/14	11/07/14
1. Pre-Receipt	Method of submission	CARS	11/07/14
	Within receipt period	YES	11/07/14
	Appeal to submit application after CARS closed	N/A	11/07/14
	Appeal for late application submission accepted	N/A	11/07/14
	Submission of application fee	YES	01/26/15
	Administrative review notification	06/16/14	11/07/14
	Donation(s) made to CPRIT/foundation	NO	11/07/14
	Assigned to primary reviewers	06/25/14	11/07/14
2. Receipt, Referral,	Applicant notified of review panel assignment	07/11/14	11/07/14
and Assignment	Primary Reviewer 1 COI signed	06/23/14	11/07/14
	Primary (Advocate) Reviewer 2 COI signed	06/12/14	11/07/14
	Primary Reviewer 3 COI signed	06/16/14	11/07/14
	Primary Reviewer 4 COI signed	06/20/14	11/07/14
	Primary Reviewer 1 critique submitted	07/16/14	11/07/14
	Primary (Advocate) Reviewer 2 critique submitted	07/17/14	11/07/14
	Primary Reviewer 3 critique submitted	07/17/14	11/07/14
	Primary Reviewer 4 critique submitted	07/18/14	11/07/14
3. Peer Review:	COI indicated by non-primary reviewer	NONE	11/07/14
Screening	COI recused from participation	N/A	11/07/14
Teleconference	Peer Review: Screening Teleconference	07/16/14	11/07/14
	Post-Screening Teleconference score report	07/16/14	11/07/14
	Post review statements signed	08/14/14	11/07/14
	Third Party Observer Report	07/16/14	11/07/14
	Recommended for On-Site Meeting	YES	11/07/14
	COI indicated by non-primary reviewer	NONE	11/07/14
	COI recused from participation	N/A	11/07/14
	Peer Review: On-Site Meeting	08/14/14-08/15/14	11/07/14
4. Peer Review: On-	Post review statements signed	08/15/14	11/07/14
Site Meeting	Third Party Observer Report	08/15/14	11/07/14
	Score report delivered to CPDO	08/30/14	11/07/14
	Recommended for due diligence and IP review	YES	11/07/14
	Final due diligence review submitted to PDRC	12/26/14	
5. Due Diligence and		11/17/14	01/26/15
IP Review	Intellectual Property conflict check		
	Final intellectual property review submitted	12/21/14 NONE	01/30/15
	COI indicated by PDRC member COI recused from participation	N/A	01/13/15
C Final PDDC		01/08/15	01/13/15
6. Final PDRC Recommendation	Due Diligence Evaluation Meeting / PDRC Meeting	01/08/15	01/13/15
Recommendation	Third Party Observer Report	YES	01/27/15
	Recommended for grant award		01/13/15
	PDRC Chair Notification to PIC and OC	01/21/15	01/27/15
	COI indicated by PIC member	NONE	02/03/15
7. PIC Review	COI recused from participation	N/A	02/03/15
	PIC review meeting	02/03/15	02/03/15
	Recommended for grant award	YES	02/03/15
	CEO Notification to Oversight Committee	02/06/15	02/18/15
	COI indicated by Oversight Committee member	NONE	02/18/15
	COI recused from participation	N/A	02/18/15
8. Oversight	Donation(s) made to CPRIT/foundation	NO	02/18/15
Committee Approval	Presented to CPRIT Oversight Committee	02/18/15	02/18/15
	Award approved by Oversight Committee	YES	02/18/15
	Authority to advance funds requested	02/10/15	02/18/15
	Advance authority approved by Oversight Committee	YES	02/18/15